

Olivier ISELY

# Algebraic $K$ -Theory

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# Introduction

Algebraic  $K$ -theory is a branch of algebra dealing with linear algebra over a general ring  $A$  instead of over a field. It associates to any ring  $A$  a sequence of abelian groups  $K_i(A)$ . The first three of these,  $K_0(A)$ ,  $K_1(A)$  and  $K_2(A)$ , can be described in concrete terms ; the others are rather mysterious. For instance,  $K_0(A)$  is the group defined by the isomorphic classes of projectives modules over  $A$  and  $K_1(A)$  is the abelianisation of the colimit of  $GL_n(A)$ . In the same way,  $K_2(A)$  can be described in terms of generators and relations.

$K$ -theory as an independent discipline is a fairly new subject, only about 50 years old. However, special cases of  $K$ -groups occur in almost all areas of mathematics, and particular examples of what we now call  $K_0$  were among the earliest studied examples of abelian groups. We can still say that the letter  $K$  has been chosen from the German word Klasse.

Algebraic  $K$ -theory plays an important role in many subjects, especially number theory, algebraic topology and algebraic geometry. For instance, the class group of a number field  $K$  is essentially  $K_0(O_K)$ , where  $O_K$  is the ring of integers. Some formulas in operator theory, involving determinants, are best understood in terms of algebraic  $K$ -theory.

In this document, I will briefly intruduce the definitions of the  $K$ -theory groups. There is two parts : the first one is based on the book of John Milnor, *Introduction to algebraic K-theory*, and will give an algebraic definition of  $K_0(A)$ ,  $K_1(A)$ ,  $K_2(A)$  and some properties of them ; the second one is based on Allen Hatcher's *Algebraic Topology* and will present the topological construction of the space that will define the higher  $K$ -theory groups.

# Chapter 1

## Preliminaries

We assume that the notions of ring, module, homomorphism between rings, etc. are known. In all the document, a ring will be an associative ring with  $1 \neq 0$ . An homomorphism  $\phi$  between two rings will always satisfy  $\phi(1) = 1$ . Moreover,  $\mathbb{N}$  will designe the set  $\{0, 1, 2, \dots\}$  and  $\mathbb{N}^*$  will be  $\mathbb{N} \setminus \{0\}$ .

For all this chapter we fix a ring  $A$ . For any  $A$ -module  $M$  and for any subset  $B \subseteq M$ , we recall that  $\langle B \rangle$  is the intersection of all the  $A$ -submodules of  $M$  having  $B$  as a subset. In fact we have

$$\langle B \rangle = \left\{ \sum_{i=1}^n \lambda_i b_i \mid \lambda_i \in A, b_i \in B \right\}$$

**Definition 1.1** *Let  $M$  be an  $A$ -module. A subset  $B \subseteq M$  is called a system of generators of  $M$  if  $\langle B \rangle = M$ . In this case we say that  $B$  generates  $M$ .*

**Definition 1.2** *An  $A$ -module  $M$  is called finitely generated if there is a subset  $B \subseteq M$  which generates  $M$  and is finite.*

*If one system of generators  $B$  has only one element, we say that  $M$  is cyclic.*

**Remark** Generally there is more than one system of generators for an  $A$ -module  $M$ . In fact we can even have two systems of generators which have not the same number of elements.

**Example**  $A$  is always a cyclic  $A$ -module. It is generated by 1.

**Definition 1.3** *A basis  $B$  of an  $A$ -module  $M$  is a subset  $B \subseteq M$  that generates  $M$  and is free, meaning that there are no relations between the elements of  $B$  in  $M$ .*

**Definition 1.4** *An  $A$ -module  $L$  is called free if there is a basis  $B$  of  $L$ .*

## Examples

1. The  $A$ -module  $A$  has  $\{1\}$  as a basis and so is a free module.
2. If  $A = K$  is a field, then a  $K$ -module is a  $K$ -vector space and so have a basis. In fact this result is true if  $A$  is a division ring.
3. The polynomial ring  $A[X]$ , seen as an  $A$ -module, has  $\{1, X, X^2, \dots\}$  as a basis.
4.  $A^n$  is a free module over  $A$  with basis  $\{e_i \mid 1 \leq i \leq n\}$ , where  $e_i$  is the element  $(0, \dots, 0, 1, 0, \dots, 0) \in A^n$  with the 1 at the  $i$ -th place.
5. The  $\mathbb{Z}$ -module  $\mathbb{Z}/2\mathbb{Z}$  is a finitely generated module (even cyclic), but doesn't have any basis.

**Proposition 1.5** *If  $L$  and  $L'$  are two free  $A$ -modules, then  $L \oplus L'$  is a free  $A$ -module.*

*Proof.* If  $B$  and  $B'$  are basis for  $L$  and  $L'$  respectively, then it is clear that  $B \times B'$  is a basis for  $L \times L' \cong L \oplus L'$ .

**Proposition 1.6** *Every free and finitely generated  $A$ -module  $L$  is isomorphic to an  $A$ -module  $A^n$ , with  $n \in \mathbb{N}$ .*

*Proof.* Since  $L$  is free and finitely generated, there is a finite basis  $B$  for  $L$ . So we can write  $B = \{b_1, \dots, b_n\}$ . We consider the map

$$\begin{aligned} \phi : A^n &\longrightarrow L \\ (x_1, \dots, x_n) &\longmapsto \sum_{i=1}^n x_i b_i \end{aligned}$$

$\phi$  is well defined and is clearly an  $A$ -homomorphism. Moreover  $\phi$  is injective because  $B$  is free and  $\phi$  is onto  $L$  because  $B$  generates  $L$ . So  $\phi$  is an  $A$ -isomorphism. Thus  $L \cong A^n$ .

## Remark

1. Since the basis of a free  $A$ -module haven't the same cardinality in general, the  $n \in \mathbb{N}$  in the proposition 1.6 isn't unique for all ring  $A$ .
2. We say that  $A$  has the property of the unique rank if the  $n \in \mathbb{N}$  is uniquely determined. Such ring satisfies

$$A^n \cong A^m \iff n = m$$

Fields, division rings and principal rings have the property of the unique rank.

3. For a field or a division ring  $K$ , every finitely generated  $K$ -module is isomorphic to  $K^n$ , for a  $n \in \mathbb{N}$ . Moreover, the  $n \in \mathbb{N}$  is unique, since  $K$  is a field.

**Definition 1.7** An  $A$ -module  $P$  is called *projective* if there exists an  $A$ -module  $Q$  so that  $L := P \oplus Q$  is a free module over  $A$ .

**Remark** In the case of the definition 1.7, we have that  $Q$  is also a projective module over  $A$  :

$$Q \oplus P \cong P \oplus Q = L$$

### Examples

1. A free module  $L$  is always projective because  $L \oplus 0 \cong L$  is free.
2. A projective module is always a submodule of a free module. Effectively, if  $P$  is a projective module, there is one  $Q$  so that  $P \oplus Q$  is free. So  $P \cong P \oplus 0 \subseteq P \oplus Q$  is a submodule of a free module.
3. The  $\mathbb{Z}$ -module  $\mathbb{Z}/2\mathbb{Z}$  is not projective.

In fact a free  $\mathbb{Z}$ -module is a direct sum of copy of  $\mathbb{Z}$  (since proposition 1.6) and so is torsionless, i.e. there is no element  $x$  so that  $nx = 0$  for an integer  $n$ . But  $\mathbb{Z}/2\mathbb{Z}$  isn't torsionless and so cannot be submodule of a free  $\mathbb{Z}$ -module.

**Proposition 1.8** If  $P$  and  $Q$  are projective  $A$ -modules, then  $P \oplus Q$  is also a projective module.

*Proof.* Since  $P$  and  $Q$  are projective, there are  $A$ -modules  $M$  and  $N$  so that  $P \oplus M$  and  $Q \oplus N$  are free. By proposition 1.5,  $P \oplus M \oplus Q \oplus N$  is free. But

$$P \oplus M \oplus Q \oplus N \cong P \oplus Q \oplus M \oplus N$$

and so  $P \oplus Q$  is projective.

## Chapter 2

# The group $K_0$

### 2.1 Milnor's definition of $K_0$

Let  $A$  be a ring. To define  $K_0(A)$  we consider the following equivalence relation. We say that two finitely projective  $A$ -modules  $P$  and  $Q$  are equivalent if and only if they are isomorphic, i.e. if there is an isomorphism of  $A$ -modules  $P \rightarrow Q$ . This is clearly an equivalence relation.

We note  $\overline{P}$  for the equivalence class of the projective  $A$ -module  $P$  and  $Proj(A)$  for the set of all the equivalence classes.

**Definition 2.1** (Milnor) *The projective module group  $K_0(A)$  is the group defined by generators and relations as follows. For each elements  $\overline{P}$  of  $Proj(A)$  we take a generator  $[P]$  and for each pair  $[P], [Q]$  of generators we define the relation*

$$[P] + [Q] := [P \oplus Q]$$

**Remark** Since  $P \oplus Q \cong Q \oplus P$  we have that  $\overline{P \oplus Q} = \overline{Q \oplus P}$  and so  $[P] + [Q] = [P \oplus Q] = [Q \oplus P] = [Q] + [P]$ , meaning that  $K_0(A)$  is an abelian group.

**Proposition 2.2** *Every element of  $K_0(A)$  can be expressed by the formal difference  $[P_1] - [P_2]$  of two generators.*

*Proof.* Since  $K_0(A)$  is generated by  $\{[P] \mid \overline{P} \in Proj(A)\}$ , then an element  $[Q] \in K_0(A)$  can be written

$$[Q] = \sum_{i=1}^n (-1)^{k_i} [Q_i]$$

where  $k_i \in \mathbb{N}$  and  $\overline{Q_i} \in \text{Proj}(A)$ . Up to a permutation of the indices we get

$$\begin{aligned} [Q] &= \sum_{i=1}^m [Q_i] + \sum_{i=m+1}^n -[Q_i] \\ &= \sum_{i=1}^m [Q_i] - \sum_{i=m+1}^n [Q_i] \\ &= [\bigoplus_{i=1}^m Q_i] - [\bigoplus_{i=m+1}^n Q_i] \end{aligned}$$

Defining  $P_1 := \bigoplus_{i=1}^m Q_i$  and  $P_2 := \bigoplus_{i=m+1}^n Q_i$  we conclude that  $[Q] = [P_1] - [P_2]$ .

**Remark** The group  $K_0(A)$  can be defined more formally as a quotient of a free abelian group. Effectively, we form the free abelian group  $F$  generated by the set  $\text{Proj}(A)$  and we take the quotient by the normal subgroup  $R$  spanned by all  $\overline{P} + \overline{Q} - \overline{P \oplus Q}$ , where  $\overline{P}, \overline{Q} \in \text{Proj}(A)$ . So we have

$$K_0(A) = F/R$$

(To see more about free groups, consult [2].)

**Definition 2.3** Two  $A$ -modules  $M$  and  $N$  are called stably isomorphic if there exists  $r \in \mathbb{N}$  so that

$$M \oplus A^r \cong N \oplus A^r$$

**Proposition 2.4** Two generators  $[P]$  and  $[Q]$  of  $K_0(A)$  are equal if and only if  $P$  is stably isomorphic to  $Q$ .

*Proof.* As we have seen in the remark above, we can write  $K_0(A)$  as a quotient  $F/R$  where  $F$  is a free abelian group. First note that a sum  $\overline{P_1} + \dots + \overline{P_k}$  in  $F$  is equal to  $\overline{Q_1} + \dots + \overline{Q_k}$  if and only if

$$P_i \cong P_{\sigma(i)}, \quad \forall i = 1, \dots, k$$

for some permutation  $\sigma$  of  $\{1, \dots, k\}$ . If this is the case, then we have clearly

$$P_1 \oplus \dots \oplus P_k \cong Q_1 \oplus \dots \oplus Q_k$$

Now suppose that we have  $[P] = [Q]$  and so  $\overline{P} \equiv \overline{Q} \pmod{R}$ . Then this means that

$$\overline{P} - \overline{Q} = \sum_{i=1}^n \overline{P_i} + \overline{Q_i} - \overline{P_i \oplus Q_i}$$



which is equivalent to

$$\overline{P} + \sum_{i=1}^n \overline{P_i \oplus Q_i} = \overline{Q} + \sum_{i=1}^n \overline{P_i} + \sum_{i=1}^n \overline{Q_i}$$

for some  $n \in \mathbb{N}$  and appropriate projective modules  $P_i, Q_i$ . Applying the beginning of the proof we get

$$P \oplus \left( \sum_{i=1}^n P_i \oplus Q_i \right) \cong Q \oplus \left( \sum_{i=1}^n P_i \oplus \sum_{i=1}^n Q_i \right)$$

Defining  $X := \sum_{i=1}^n P_i \oplus Q_i \cong \sum_{i=1}^n P_i \oplus \sum_{i=1}^n Q_i$ , we get that  $P \oplus X \cong Q \oplus X$ .

Since  $X$  is projective, we can choose an  $A$ -module  $Y$  so that  $X \oplus Y$  is free. By the proposition 1.6,  $X \oplus Y \cong A^r$ , for some  $r \in \mathbb{N}$ . Then we obtain

$$\begin{aligned} P \oplus X \cong Q \oplus X &\implies P \oplus X \oplus Y \cong Q \oplus X \oplus Y \\ &\implies P \oplus A^r \cong Q \oplus A^r \end{aligned}$$

Hence  $P$  is stably isomorphic to  $Q$ .

Conversely if  $P$  is stably isomorphic to  $Q$ , then there exists  $r \in \mathbb{N}$  so that  $P \oplus A^r \cong Q \oplus A^r$ . So we have  $[P \oplus A^r] = [Q \oplus A^r]$ , since  $A^r$  is clearly projective. But

$$[P \oplus A^r] = [Q \oplus A^r] \Rightarrow [P] + [A^r] = [Q] + [A^r] \Rightarrow [P] = [Q]$$

which concludes the proof.

**Corollary 2.5** *Two elements  $[P_1] - [P_2]$  and  $[Q_1] - [Q_2]$  of  $K_0(A)$  are equal if and only if  $P_1 \oplus Q_2$  is stably isomorphic to  $P_2 \oplus Q_1$ .*

*Proof.*  $[P_1] - [P_2] = [Q_1] - [Q_2] \iff [P_1] + [Q_2] = [P_2] + [Q_1] \iff [P_1 \oplus Q_2] = [P_2 \oplus Q_1]$  and then we can conclude by the preceding proposition.

## 2.2 Grothendieck's construction of $K_0$

**Definition 2.6** *A monoid is a set  $G$  with an associative law which has an identity element, noted  $1_G$ .*

*If the law is commutative, then we say that  $G$  is an abelian monoid. In this case we note  $+$  the law and  $0_G$  the identity element.*

## Examples

1. Any group is a monoid ; any abelian group is an abelian monoid.
2.  $(\mathbb{N}, +)$  and  $(\mathbb{N}, \cdot)$  are abelian monoids.
3.  $\mathbb{Z}$  with the usual multiplication is also an abelian monoid.
4.  $Proj(A)$  with the operation  $\overline{P} + \overline{Q} := \overline{P \oplus Q}$  is an abelian monoid.

**Definition 2.7** Let  $(G, \star)$  and  $(H, \bullet)$  be monoids. An homomorphism of monoids is a map of sets

$$\phi : G \longrightarrow H$$

so that  $\phi(x \star y) = \phi(x) \bullet \phi(y)$ ,  $\forall x, y \in G$ , and that  $\phi(1_G) = 1_H$ .

**Theorem 2.8** Let  $G$  be an abelian monoid. Then there exists an abelian group  $\mathcal{G}(G)$  and an homomorphism of monoids  $\nu_G : G \longrightarrow \mathcal{G}(G)$  so that for all group  $H$  and for all homomorphism of monoids  $\phi : G \longrightarrow H$ , there exists one and only one homomorphism of groups  $\tilde{\phi} : \mathcal{G}(G) \longrightarrow H$  so that  $\phi = \tilde{\phi} \circ \nu_G$ .

In an other way, we can say that  $(\mathcal{G}(G), \nu_G)$  satisfy the following universal property :

$$\begin{array}{ccc} G & \xrightarrow{\forall \phi} & H \\ \nu_G \downarrow & \nearrow \exists! \tilde{\phi} & \\ \mathcal{G}(G) & & \end{array}$$

The pair  $(\mathcal{G}(G), \nu_G)$  is called Grothendieck's construction of  $G$ .

*Proof.* On  $G \times G$ , we introduce the equivalence relation

$$(x, y) \sim (x', y') \iff \exists z \in G \text{ so that } x' + y + z = x + y' + z$$

We note  $[x, y]$  the equivalence class of  $(x, y)$  and  $\mathcal{G}(G) := G \times G / \sim$ . We define on  $\mathcal{G}(G)$  the following operation :

$$[x, y] + [u, v] := [x + u, y + v]$$

This operation is associative, commutative and has  $[x, x]$  as an identity element,  $\forall x \in G$  :

$$[x, x] + [u, v] = [x + u, x + v] = [u, v]$$

since  $u + x + v = x + u + v$ . Moreover, if  $[x, y] \in \mathcal{G}(G)$ , then we have the inverse element  $-[x, y] := [y, x]$ . Effectively,

$$[x, y] + [y, x] = [x + y, y + x] = 0 = [y + x, x + y] = [y, x] + [x, y]$$

Hence  $\mathcal{G}(G)$  is an abelian group.

Now consider the map

$$\begin{aligned}\nu_G : G &\longrightarrow \mathcal{G}(G) \\ x &\longmapsto [x + x, x]\end{aligned}$$

Since  $\nu_G(x + y) = [x + y + x + y, x + y] = [x + x + y + y, x + y] = [x + x, x] + [y + y, y] = \nu_G(x) + \nu_G(y)$  and  $\nu_G(0) = [0, 0] = 0$ ,  $\nu_G$  is an homomorphism of monoids.

Let  $H$  be an abelian group and  $\phi : G \longrightarrow H$  an homomorphism of monoids. We get

$$\begin{aligned}[x, y] &= [x, y] + [x + y, x + y] = [x + (x + y), y + (x + y)] \\ &= [x + x, x] + [y, y + y] = [x + x, x] - [y + y, y] \\ &= \nu_G(x) - \nu_G(y)\end{aligned}$$

So we must define  $\tilde{\phi} : \mathcal{G}(G) \longrightarrow H$  by

$$\tilde{\phi}([x, y]) := \phi(x) - \phi(y)$$

which is well and uniquely defined and is an homomorphism of groups. Furthermore

$$\tilde{\phi}(\nu_G(x)) = \tilde{\phi}([x + x, x]) = \phi(x + x) - \phi(x) = \phi(x)$$

**Proposition 2.9** *Let  $G$  be an abelian monoid. Then the Grothendieck's construction  $(\mathcal{G}(G), \nu_G)$  is unique up to isomorphism.*

*Proof.* Let  $B$  be an abelian group and  $\psi : G \longrightarrow B$  be an homomorphism of abelian monoids so that for every abelian group  $H$  and homomorphism of monoids  $\phi : G \longrightarrow H$  there exists a group homomorphism  $\bar{\phi} : B \longrightarrow H$  uniquely determined so that  $\phi = \bar{\phi} \circ \psi$ .

Putting  $H = \mathcal{G}(G)$  and  $\phi = \nu_G$  we get that there exists a group homomorphism  $\overline{\nu_G} : B \longrightarrow \mathcal{G}(G)$  so that  $\nu_G = \overline{\nu_G} \circ \psi$ . By a similar argument, using the universal property of  $(\mathcal{G}(G), \nu_G)$ , there exists a group homomorphism  $\tilde{\psi} : \mathcal{G}(G) \longrightarrow B$  so that  $\psi = \tilde{\psi} \circ \nu_G$ . We obtain :

$$\begin{aligned}\overline{\nu_G} \circ \tilde{\psi} \circ \nu_G &= \nu_G \\ \tilde{\psi} \circ \overline{\nu_G} \circ \psi &= \psi\end{aligned}$$

We can immediately deduce that

$$\begin{aligned}\overline{\nu_G} \circ \tilde{\psi} &= Id_{Im(\nu_G)} \\ \tilde{\psi} \circ \overline{\nu_G} &= Id_{Im(\psi)}\end{aligned}$$

To end the proof we have just to show that  $B = \text{Im } \psi$  and  $\mathcal{G}(G) = \text{Im } \nu_G$ . We consider the homomorphism  $q : B \rightarrow B/\text{Im}(\psi)$  given by the canonical projection. The two homomorphisms

$$\begin{aligned} \theta_1 : B &\rightarrow B \times (B/\text{Im}(\psi)) \\ x &\mapsto (x, q(x)) \end{aligned}$$

and

$$\begin{aligned} \theta_2 : B &\rightarrow B \times (B/\text{Im}(\psi)) \\ x &\mapsto (x, 0) \end{aligned}$$

make the following diagram commute :

$$\begin{array}{ccc} G & \xrightarrow{\psi \times 0} & B \times (B/\text{Im}(\psi)) \\ \psi \downarrow & \nearrow \theta_i & \\ B & & \end{array}$$

for  $i = 1, 2$ . By uniqueness we must have  $\theta_1 = \theta_2$  and so  $B = \text{Im } \psi$ . A similar argument gives  $\mathcal{G}(G) = \text{Im } \nu_G$ .

**Example** If  $G = \mathbb{N}$  with the addition, then  $\mathcal{G}(\mathbb{N})$  is the group with all the elements of the form  $n - m$  for  $n, m \in \mathbb{N}$ . So we obtain

$$\mathcal{G}(\mathbb{N}) \cong \mathbb{Z}$$

**Definition 2.10** If  $A$  is a ring, then  $\text{Proj}(A)$  is an abelian monoid. So we can define

$$K_0(A) := \mathcal{G}(\text{Proj}(A))$$

This definition is clearly the same as Milnor's.

**Proposition 2.11** If  $A = K$  is a field or a division ring, then

$$K_0(K) = \mathbb{Z}$$

*Proof.* As seen in chapter 1, every finitely generated  $K$ -module (and so every finitely generated projective  $K$ -module) is isomorphic to  $K^n$ , for one unique  $n \in \mathbb{N}$ . So we have an isomorphism

$$\text{Proj}(K) \cong \mathbb{N}$$

Since  $\mathcal{G}(\mathbb{N}) \cong \mathbb{Z}$  we can conclude that  $K_0(K) \cong \mathbb{Z}$ .

**Remark** This result is true if  $A$  has the property of the unique rank. Thus

$$K_0(\mathbb{Z}) \cong \mathbb{Z}$$

**Theorem 2.12**  $K_0(-)$  is a covariant functor from the category of rings and homomorphisms of rings to the category of abelian groups and homomorphisms of groups.

*Proof.* Let  $A_1$  and  $A_2$  be two rings and let  $\phi : A_1 \longrightarrow A_2$  be a ring homomorphism. Then  $\phi$  induces a structure of  $A_1$ -module on  $A_2$  as follows

$$a \cdot b := \phi(a)b, \quad \forall a \in A_1, \forall b \in A_2$$

Hence for every finitely projective module  $P$  over  $A_1$  there exists a tensor product  $A_2 \otimes_{A_1} P$ . On this tensor product over  $A_1$  we can put a structure of  $A_2$ -module defining  $b' \cdot (b \otimes v) := (b'b) \otimes v, \forall b, b' \in A_2, \forall v \in P$ . Then we can define

$$\begin{aligned} Proj(\phi) : Proj(A_1) &\longrightarrow Proj(A_2) \\ \overline{P} &\longmapsto \overline{A_2 \otimes_{A_1} P} \end{aligned}$$

We can verify that if  $A_3$  is an other ring and if  $\psi : A_2 \longrightarrow A_3$  is a ring homomorphism, we have  $Proj(\psi \circ \phi) = Proj(\psi) \circ Proj(\phi)$  and  $Proj(Id_{A_1}) = Id_{Proj(A_1)}$ . Thus  $Proj(-)$  is a covariant functor from the category of rings and homomorphisms of rings to the category of abelian monoids and homomorphisms of monoids.

Now let  $G_1$  and  $G_2$  be two abelian monoids and let  $\psi : G_1 \longrightarrow G_2$  be an homomorphism of monoids. From the theorem 2.8 we have two Grothendieck's constructions  $(\mathcal{G}(G_1), \nu_{G_1})$  and  $(\mathcal{G}(G_2), \nu_{G_2})$  for  $G_1$  and  $G_2$  respectively. The monoid homomorphism  $\nu_{G_2} \circ \psi : G_1 \longrightarrow \mathcal{G}(G_2)$  gives rise to an homomorphism of abelian groups

$$\mathcal{G}(\psi) : \mathcal{G}(G_1) \longrightarrow \mathcal{G}(G_2)$$

With this definition,  $\mathcal{G}(-)$  is a covariant functor from the category of abelian monoids and homomorphisms of monoids to the category of abelian groups and homomorphisms between abelian groups.

Since  $K_0(-) = \mathcal{G} \circ Proj(-)$ , the theorem is proved.

## Chapter 3

# The group $K_1$

### 3.1 Whitehead's lemma and definition of $K_1$

Let  $A$  be a ring and  $GL_n(A)$  denote the general linear group consisting of all  $n \times n$  invertible matrices over  $A$ . For all  $n \in \mathbb{N}^*$ , we define the map

$$\begin{aligned} i_n : GL_n(A) &\longrightarrow GL_{n+1}(A) \\ B &\longmapsto \begin{pmatrix} B & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

**Proposition 3.1** *The map  $i_n$  is an homomorphism of groups and is injective,  $\forall n \in \mathbb{N}^*$ .*

*Proof.* Let  $B, C \in GL_n(A)$ . From

$$i_n(I_n) = \begin{pmatrix} I_n & 0 \\ 0 & 1 \end{pmatrix} = I_{n+1}$$

and

$$i_n(BC) = \begin{pmatrix} BC & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} B & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} C & 0 \\ 0 & 1 \end{pmatrix} = i_n(B)i_n(C)$$

we have that  $i_n$  is an homomorphism of groups,  $\forall n \in \mathbb{N}^*$ . Clearly  $i_n(B) = I_{n+1} \iff B = I_n$  and so  $i_n$  is injective,  $\forall n \in \mathbb{N}^*$ .

**Remark** Since the proposition 3.1 we can see  $GL_n(A)$  as a subgroup of  $GL_{n+1}(A)$ . Effectively,  $GL_n(A) \cong \text{Im}(i_n)$  which is a subgroup of  $GL_{n+1}(A)$ .

**Definition 3.2** *We define the general linear group of  $A$  by*

$$GL(A) := \bigcup_{n \in \mathbb{N}^*} GL_n(A)$$

**Theorem 3.3**  $GL(A)$  is a group.

*Proof.* Let  $B, C, D \in GL(A)$ . By definition of  $GL(A)$ , there exists  $n \in \mathbb{N}^*$  so that  $B, C, D \in GL_n(A)$ . Since  $GL_n(A)$  is a group, we get  $(BC)D = B(CD)$  and the associativity of  $GL(A)$ .

The identity element of  $GL(A)$  is the matrix  $I$  with 1 at every place on the diagonal and 0 everywhere else.

Let  $B \in GL(A)$ . There exists  $n \in \mathbb{N}^*$  so that  $B \in GL_n(A)$ . Since  $GL_n(A)$  is a group,  $B$  has an inverse matrix  $B^{-1} \in GL_n(A)$ . We obtain

$$\begin{pmatrix} B & 0 \\ 0 & I \end{pmatrix} \begin{pmatrix} B^{-1} & 0 \\ 0 & I \end{pmatrix} = \begin{pmatrix} BB^{-1} & 0 \\ 0 & I \end{pmatrix} = I$$

and so  $GL(A)$  is a group.

**Definition 3.4** Let  $n \in \mathbb{N}^*$ . A matrix in  $GL_n(A)$  is called elementary if it coincides with the identity matrix except for a single off-diagonal entry. We note  $E_n(A)$  the subgroup of  $GL_n(A)$  generated by all the elementary matrices.

**Remark** Since  $i_n(E_n(A)) \subset E_{n+1}(A)$ , we can embed  $E_n(A)$  in  $E_{n+1}(A)$ ,  $\forall n \in \mathbb{N}^*$ .

**Definition 3.5** We define  $E(A) := \bigcup_{n \in \mathbb{N}^*} E_n(A)$

**Remark** For every  $n \in \mathbb{N}^*$ ,  $E_n(A)$  is a subgroup of  $GL_n(A)$ . Since  $GL_n(A)$  is a subgroup of  $GL(A)$ , we have that  $E(A)$  is also a subgroup of  $GL(A)$ .

**Lemma 3.6** Let  $n \in \mathbb{N}^*$  and  $D \in GL_n(A)$ . Then  $\begin{pmatrix} D & 0 \\ 0 & D^{-1} \end{pmatrix} \in E_{2n}$ .

*Proof.* We note  $e_{ij}^\lambda$  the elementary matrix with  $\lambda \in A$  at the  $(i, j)$ -th place, where  $i \neq j$ . If  $i \neq k$  and  $j \neq l$ , then  $e_{ij}^\lambda e_{kl}^\mu$  is a matrix with 1 on the diagonal,  $\lambda$  at the  $(i, j)$ -th place,  $\mu$  at the  $(k, l)$ -th place and 0 everywhere else. Generalizing this we can write, for a matrix  $B = (b_{ij}) \in GL_n(A)$  :

$$\begin{pmatrix} I_n & B \\ 0 & I_n \end{pmatrix} = \prod_{i=1}^n \prod_{j=n+1}^{2n} e_{ij}^{b_{i(j-n)}} \in E_{2n}(A)$$

and as the same

$$\begin{pmatrix} I_n & 0 \\ B & I_n \end{pmatrix} = \prod_{i=n+1}^{2n} \prod_{j=1}^n e_{ij}^{b_{(i-n)j}} \in E_{2n}(A)$$

Thus we get

$$\begin{pmatrix} 0 & -D \\ D^{-1} & 0 \end{pmatrix} = \begin{pmatrix} I_n & -D \\ 0 & I_n \end{pmatrix} \begin{pmatrix} I_n & 0 \\ D^{-1} & I_n \end{pmatrix} \begin{pmatrix} I_n & -D \\ 0 & I_n \end{pmatrix} \in E_{2n}(A)$$

and therefore

$$\begin{pmatrix} D & 0 \\ 0 & D^{-1} \end{pmatrix} = \begin{pmatrix} 0 & -D \\ D^{-1} & 0 \end{pmatrix} \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix} \in E_{2n}(A)$$

**Lemma 3.7** (Whitehead)  $E(A)$  is equal to the commutator subgroup of  $GL(A)$  :

$$E(A) = [GL(A), GL(A)]$$

*Proof.* We can see that  $e_{ij}^\lambda = [e_{ik}^\lambda, e_{kj}^1]$  for  $i \neq j$  and  $k \neq i, j$ . So

$$E(A) \subseteq [E(A), E(A)] \subseteq [GL(A), GL(A)]$$

Let  $B, C \in GL(A)$ . By definition of  $GL(A)$ , there exists  $n \in \mathbb{N}^*$  so that  $B, C \in GL_n(A)$ . We have

$$\begin{pmatrix} BCB^{-1}C^{-1} & 0 \\ 0 & I_n \end{pmatrix} = \begin{pmatrix} BC & 0 \\ 0 & (BC)^{-1} \end{pmatrix} \begin{pmatrix} B^{-1} & 0 \\ 0 & B \end{pmatrix} \begin{pmatrix} C^{-1} & 0 \\ 0 & C \end{pmatrix}$$

and so  $\begin{pmatrix} BCB^{-1}C^{-1} & 0 \\ 0 & I_n \end{pmatrix} \in E_{2n}(A)$  by the lemma 3.6. Thus

$$[GL(A), GL(A)] \subseteq E(A)$$

which concludes the proof.

**Definition 3.8** (Whitehead) We define  $K_1(A)$  by the quotient

$$K_1(A) := GL(A)/E(A)$$

It comes from lemma 3.7 that  $K_1(A)$  is a group since  $E(A)$  is a normal subgroup of  $GL(A)$ , and that  $K_1(A)$  is abelian since  $E(A)$  is the commutator subgroup. In other words,  $K_1(A)$  is the abelianisation of  $GL(A)$ .

## 3.2 Properties of $K_1$

**Remark** If a ring  $A$  is commutative, then the determinant operation is defined. If  $A^*$  is the multiplicative group consisting of all invertible elements of  $A$ , then we have a surjective map

$$\det : GL(A) \longrightarrow A^*$$



We denote by  $SL(A)$  the kernel of this homomorphism. Since  $A^* \cong GL_1(A)$ , we can also see  $A^*$  as a subset of  $GL(A)$ . Clearly

$$A^* \subset GL(A) \xrightarrow{\det} A^*$$

is the identity map. So we have the short exact sequence

$$1 \longrightarrow SL(A) \longrightarrow GL(A) \xrightarrow{\det} A^* \longrightarrow 1$$

that is split exact.

**Lemma 3.9** *Let  $1 \longrightarrow G_1 \xrightarrow{\phi} H \xrightarrow{\psi} G_2 \longrightarrow 1$  be a short exact sequence of groups that is split exact. Then*

$$H \cong G_1 \oplus G_2$$

*Proof.* By definition of split exact, there is a section  $s : G_2 \longrightarrow H$  so that  $\psi \circ s = Id_{G_2}$ . Consider the following short exact sequence :

$$1 \longrightarrow G_1 \xrightarrow{\iota} G_1 \oplus G_2 \xrightarrow{\pi} G_2 \longrightarrow 1$$

where  $\iota$  is the inclusion  $x \mapsto (x, 1)$  and  $\pi$  is the projection  $(x, y) \mapsto y$ . We define

$$\begin{aligned} \alpha : G_1 \oplus G_2 &\longrightarrow H \\ (x, y) &\longmapsto \phi(x)s(y) \end{aligned}$$

Since  $\text{Im } \phi = \ker \psi$ , we get that  $\psi \circ \alpha(x, y) = \psi(\phi(x)s(y)) = \psi(\phi(x))\psi(s(y)) = y$  and so the following diagram commutes :

$$\begin{array}{ccccccc} 1 & \longrightarrow & G_1 & \xrightarrow{\iota} & G_1 \oplus G_2 & \xrightarrow{\pi} & G_2 \longrightarrow 1 \\ & & \parallel & & \downarrow \alpha & & \parallel \\ 1 & \longrightarrow & G_1 & \xrightarrow{\phi} & H & \xrightarrow{\psi} & G_2 \longrightarrow 1 \end{array}$$

By the five lemma,  $\alpha$  is an isomorphism.

**Remark** A short exact sequence

$$1 \longrightarrow G \xrightarrow{\phi} H \xrightarrow{\psi} F \longrightarrow 1$$

where  $F$  is a free abelian group, always splits. In fact, the section is defined by choosing a basis for  $F$  and elements in  $H$  that are sent by  $\psi$  on the basis elements. Then we extend by linearity and since there is no relation in  $F$ , this is well defined.

**Proposition 3.10** *Let  $A$  be a ring. Then*

$$K_1(A) \cong A^* \oplus (SL(A)/E(A))$$

*Proof.* Since the lemma 3.9 and the remark which precedes it, we get that

$$\begin{aligned} \alpha : A^* \oplus SL(A) &\longrightarrow GL(A) \\ (a, B) &\longmapsto a \cdot B \end{aligned}$$

is an isomorphism (where  $a, B$  are seen in  $GL(A)$  and  $a \cdot B$  is given by the matricial multiplication). We consider now the following homomorphisms :

$$\begin{aligned} E(A) &\longrightarrow A^* \oplus SL(A) & A^* \oplus SL(A) &\longrightarrow A^* \oplus (SL(A)/E(A)) \\ B &\longmapsto (1, B) & (a, B) &\longmapsto (a, q(B)) \end{aligned}$$

where  $q : GL(A) \longrightarrow GL(A)/E(A)$  is the canonical projection. Then we get a short exact sequence

$$1 \longrightarrow E(A) \longrightarrow A^* \oplus SL(A) \longrightarrow A^* \oplus (SL(A)/E(A)) \longrightarrow 1$$

Defining  $\beta : A^* \oplus (SL(A)/E(A)) \longrightarrow K_1(A)$  by  $\beta(a, q(B)) = q(a \cdot B)$ , we get a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & E(A) & \longrightarrow & GL(A) & \longrightarrow & GL(A)/E(A) & \longrightarrow & 1 \\ & & \parallel & & \downarrow \alpha & & \downarrow \beta & & \\ 1 & \longrightarrow & E(A) & \longrightarrow & A^* \oplus SL(A) & \longrightarrow & A^* \oplus SL(A)/E(A) & \longrightarrow & 1 \end{array}$$

By the five lemma we can conclude that  $\beta$  is an isomorphism and so that

$$K_1(A) = GL(A)/E(A) \cong A^* \oplus (SL(A)/E(A))$$

**Proposition 3.11** *If  $A = K$  is a field or a division ring, then*

$$K_1(K) \cong K^*$$

*Proof.* Since the preceding proposition, it is enough to prove that  $SL(K) = E(K)$ . For an elementary matrix  $E \in E(K)$  it is clear that  $\det(E) = 1$  and so  $E \in SL(K)$ . Thus  $E(K) \subseteq SL(K)$ . To show the converse we use classical linear algebra. To make things more clear, we will note  $e_{ij}(\lambda)$  for  $e_{ij}^\lambda$ .

Let  $B = (b_{ij}) \in GL_n(K)$ . Since  $B$  is invertible, the first column of  $B$  can't consist entirely of zeroes, i.e. there exists  $i \in \mathbb{N}$ ,  $1 \leq i \leq n$ , so that  $b_{i1} \neq 0$ . If  $i = 1$ , this is fine. If not,

$$e_{1i}(1)e_{i1}(-1)e_{1i}(1)B$$

put  $b_{i1}$  in the  $(1, 1)$ -position. So we can assume that  $b_{11} \neq 0$ . Adding  $-b_{i1}b_{11}^{-1}$  times the first row to the  $i$ -th row for  $i \neq 1$ , i.e. premultiplying  $B$  by

$$e_{n1}(-b_{n1}b_{11}^{-1}) \cdot \dots \cdot e_{21}(-b_{21}b_{11}^{-1})$$

we can now kill all the other entries in the first column. This reduce  $B$  to the form

$$\begin{pmatrix} b_{11} & * \\ 0 & B_1 \end{pmatrix}$$

with  $B_1$  an  $(n-1) \times (n-1)$  matrix. Since  $\det(B) = b_{11} \det(B_1)$ , we have that  $B_1$  is an invertible matrix. Repeating the same procedure by induction we get

$$EB = \begin{pmatrix} b_{11} & * & * & \dots & * \\ 0 & b'_{22} & * & \dots & * \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & b'_{nn} \end{pmatrix} =: B'$$

with  $E \in E(K)$  and all diagonal elements different from 0.

Now premultiplying  $B'$  by  $e_{1n}(-b'_{1n}(b'_{nn})^{-1}) \cdot \dots \cdot e_{n-1,n}(-b'_{n-1,n}(b'_{nn})^{-1})$ , we kill all the entries in the last column except  $b'_{nn}$ . Continuing by induction, we can now obtain

$$E'B' = \begin{pmatrix} b_{11} & 0 & 0 & \dots & 0 \\ 0 & b'_{22} & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & b'_{nn} \end{pmatrix} =: B''$$

with  $E' \in E(K)$  and  $\det(B'') = \det(E') \cdot \det(B') = \det(E') \cdot \det(E) \cdot \det(B) = \det(B)$ .

Finally, we have to transform the diagonal matrix  $B''$  into a diagonal matrix with at most one diagonal entry different from 1. Using lemma 3.6, for  $a \in K^*$ , we have that

$$\begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \in E(A)$$

and so that

$$E_a^k := \begin{pmatrix} I_k & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & a^{-1} \end{pmatrix} \in E(A)$$

for all  $k \in \mathbb{N}$ . In consequence we get

$$E_{b'_{nn} \dots b'_{22}}^0 \cdot \dots \cdot E_{b'_{nn} b'_{n-1, n-1}}^{n-3} \cdot E_{b'_{nn}}^{n-2} \cdot B'' = \begin{pmatrix} b_{11} b'_{22} \dots b'_{nn} & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 1 \end{pmatrix} =: D$$

and so  $D = E''B''$  for a  $E'' \in E(K)$ .

Since  $\det(D) = \det(B'') = \det(B)$ , we have, if  $B \in SL(K)$ , that  $\det(D) = 1$ . But  $\det(B) = b_{11}b'_{22}\dots b'_{nn}$  and so  $b_{11}b'_{22}\dots b'_{nn} = 1$ . This means that  $D = I_n$  and so that  $B = (E''E'E)^{-1} \in E(K)$ . Thus we have proved that  $SL(K) \subseteq E(K)$ , and so we may conclude.

**Remark** We can show that if  $A = \mathbb{Z}$ , then  $SL(\mathbb{Z}) = E(\mathbb{Z})$ . Hence

$$K_1(\mathbb{Z}) \cong \mathbb{Z}^* = \{-1, 1\}$$

**Theorem 3.12**  $K_1(-)$  is a covariant functor from the category of rings and homomorphisms of rings to the category of abelian groups and homomorphisms of groups.

*Proof.* Let  $\phi : A_1 \rightarrow A_2$  be an homomorphism of rings. We define

$$\begin{aligned} GL(\phi) : GL(A_1) &\rightarrow GL(A_2) \\ (b_{ij}) &\mapsto (\phi(b_{ij}))_{ij} \end{aligned}$$

and thus  $GL(-)$  is a covariant functor from the category of rings and ring homomorphisms to the category of groups and group homomorphisms.

Let  $G$  be a group. We denote  $G^{ab}$  for the abelianisation of  $G$ , that is  $G^{ab} = G/[G, G]$ . For a group homomorphism  $\psi : G_1 \rightarrow G_2$  we define

$$\begin{aligned} (\psi)^{ab} : (G_1)^{ab} &\rightarrow (G_2)^{ab} \\ [g] &\mapsto [\psi(g)] \end{aligned}$$

which is well defined, since

$$\psi(ghg^{-1}h^{-1}) = \psi(g)\psi(h)\psi(g)^{-1}\psi(h)^{-1} \in [G_2, G_2]$$

$\forall g, h \in G_1$ . So we have  $(-)^{ab}$  a covariant functor from the category of groups and homomorphisms of groups to the category of abelian groups and homomorphisms between abelian groups.

Then we can conclude, since  $K_1(-) = (GL(-))^{ab}$ .

## Chapter 4

# The group $K_2$

### 4.1 Definition of $K_2$

Let  $A$  be a ring. As in the preceding chapter, let  $e_{ij}^\lambda \in GL_n(A)$  denote the elementary matrix with entry  $\lambda$  in the  $i$ -th row and  $j$ -th column, where  $i$  and  $j$  can be any distinct integer between 1 and  $n$  and  $\lambda$  can be any ring element. We note that

$$e_{ij}^\lambda e_{ij}^\mu = e_{ij}^{\lambda+\mu}$$

Moreover we see that the commutator of two elementary matrices can be expressed as follows :

$$\begin{aligned} [e_{ij}^\lambda, e_{kl}^\mu] &= 1 && \text{if } j \neq k, i \neq l \\ [e_{ij}^\lambda, e_{kl}^\mu] &= e_{il}^{\lambda\mu} && \text{if } j = k, i \neq l \\ [e_{ij}^\lambda, e_{kl}^\mu] &= e_{kj}^{-\mu\lambda} && \text{if } j \neq k, i = l \end{aligned}$$

**Definition 4.1** Let  $n \in \mathbb{N}, n \geq 3$ . The Steinberg group  $St_n(A)$  is the group defined by the quotient  $F_n/R_n$  where  $F_n$  is the free group generated by the symbols  $x_{ij}^\lambda, 1 \leq i, j \leq n, i \neq j, \lambda \in A$ , and  $R_n$  is the smallest normal subgroup of  $F_n$  generated by the following elements :

1.  $x_{ij}^\lambda x_{ij}^\mu (x_{ij}^{\lambda+\mu})^{-1}$
2.  $[x_{ij}^\lambda, x_{jl}^\mu] (x_{il}^{\lambda\mu})^{-1}$  for  $i \neq l$
3.  $[x_{ij}^\lambda, x_{kl}^\mu]$  for  $j \neq k$  and  $i \neq l$

**Remark** Let  $n \in \mathbb{N}, n \geq 3$ , and  $\lambda \in A$ . The element  $x_{ij}^\lambda \in F_n$  can be seen as an element of  $F_{n+1}$ . Since  $R_n \subseteq R_{n+1}$  we have an homomorphism of groups

$$\begin{aligned} j_n : St_n(A) &\longrightarrow St_{n+1}(A) \\ x_{ij}^\lambda &\longmapsto x_{ij}^\lambda \end{aligned}$$

Moreover,

$$x_{ij}^\lambda \in \ker j_n \iff j_n(x_{ij}^\lambda) \in R_{n+1} \implies x_{ij}^\lambda \in R_{n+1} \implies x_{ij}^\lambda \in R_n$$

since  $0 \leq i, j \leq n$ . So  $j_n$  is injective and we can embed  $St_n(A)$  in  $St_{n+1}(A)$ .

**Definition 4.2** *Because of the remark above we can form the group*

$$St(A) := \bigcup_{n \geq 3} St_n(A)$$

**Remark** The formula  $\Phi_n(x_{ij}^\lambda) := e_{ij}^\lambda$  gives a well defined homomorphism

$$\Phi_n : St_n(A) \longrightarrow GL_n(A)$$

since each of the defining relations between generators of  $St_n(A)$  maps into a valid identity between elementary matrices. The image  $\Phi_n(St_n(A))$  is equal to the subgroup  $E_n(A)$  generated by all elementary matrices of size  $n \times n$ .

Effectively, for every  $e_{ij}^\lambda \in E_n(A)$ ,  $\Phi_n(x_{ij}^\lambda) = e_{ij}^\lambda$  and conversely, for every  $x_{ij}^\lambda \in St_n(A)$ ,  $\Phi_n(x_{ij}^\lambda) = e_{ij}^\lambda \in E_n(A)$ . So the generators of  $E_n(A)$  are in bijection with generators of  $St_n(A)$ .

When we pass to the limit as  $n \rightarrow \infty$ , we obtain an homomorphism

$$\Phi : St(A) \longrightarrow GL(A)$$

with image  $E(A) = [GL(A), GL(A)]$ .

**Definition 4.3** *The group  $K_2(A)$  is defined as the kernel of the canonical homomorphism  $\Phi : St(A) \longrightarrow GL(A)$ .*

**Proposition 4.4** *The sequence*

$$1 \longrightarrow K_2(A) \xrightarrow{\iota} St(A) \xrightarrow{\Phi} GL(A) \xrightarrow{q} K_1(A) \longrightarrow 1$$

*is exact, where  $\iota$  is the inclusion and  $q$  is the canonical projection.*

*Proof.* Results immediately of the definition of  $K_2(A)$  and of the fact that  $\text{Im } \Phi = E(A)$ .

**Lemma 4.5** *Let  $n \geq 3$  and let  $P_n$  denote the subgroup of  $St(A)$  generated by elements  $x_{1n}^\mu, x_{2n}^\mu, \dots, x_{n-1,n}^\mu$  where  $\mu$  ranges over  $A$ . Then each element of  $P_n$  can be written uniquely as a product*

$$x_{1n}^{\mu_1} x_{2n}^{\mu_2} \cdots x_{n-1,n}^{\mu_{n-1}}$$

*Hence the canonical homomorphism  $\Phi$  maps  $P_n$  isomorphically into the group  $E(A)$ .*

*Proof.* Because of 3 in the definition 4.1,  $P_n$  is an abelian group. In consequence this is clear that every element of  $P_n$  can be written as a product  $x_{1n}^{\mu_1} x_{2n}^{\mu_2} \dots x_{n-1,n}^{\mu_{n-1}}$ . The uniqueness comes from the fact that the elements 1 and 2 of the definition 4.1 don't belong to  $P_n$ .

**Theorem 4.6** *The group  $K_2(A)$  is the center of the Steinberg group  $St(A)$ .*

*Proof.* Let  $B = (b_{ij}) \in GL_n(A)$ . Since

$$B \cdot e_{kl}^1 = \begin{pmatrix} b_{11} & b_{12} & \dots & b_{1,l-1} & b_{1l} + b_{1k} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2,l-1} & b_{2l} + b_{2k} & \dots & b_{2n} \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ b_{n1} & b_{n2} & \dots & b_{n,l-1} & b_{nl} + b_{nk} & \dots & b_{nn} \end{pmatrix}$$

and

$$e_{kl}^1 \cdot B = \begin{pmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \dots & \dots & \dots & \dots \\ b_{k-1,1} & b_{k-1,2} & \dots & b_{k-1,n} \\ b_{k1} + b_{l1} & b_{k2} + b_{l2} & \dots & b_{kn} + b_{ln} \\ \dots & \dots & \dots & \dots \\ b_{n1} & b_{n2} & \dots & b_{nn} \end{pmatrix}$$

we get that  $B$  commutes with  $e_{kl}^1$  only if  $b_{kl} = 0$  and  $b_{kk} = b_{ll}$ . In consequence we obtain that  $B$  commutes with every elementary matrix if and only if  $B$  is a diagonal matrix, with every diagonal entry equal to  $b_{11}$ . In particular, no element of  $E_{n-1}(A)$  other than  $I_{n-1}$  belongs to the center of  $E_n(A)$ , for  $n \geq 2$ . Passing to the limit  $n \rightarrow \infty$ , it follows that  $E(A)$  has a trivial center.

Now if  $c$  is in the center of  $St(A)$ , then  $\Phi(c)$  is in the center of  $E(A)$ , which implies  $\Phi(c) = I$  and so that

$$\text{center of } St(A) \subseteq K_2(A)$$

Conversely, suppose that  $\Phi(y) = I$ . Let  $n \in \mathbb{N}$  so that  $y \in St_{n-1}(A)$ . Then we can write  $y$  with the generators  $x_{ij}^\lambda$ ,  $i, j < n$ . Hence we get

$$x_{ij}^\lambda P_n x_{ij}^{-\lambda} \subseteq P_n$$

where  $P_n$  is defined as in the lemma 4.5. Effectively,  $x_{ij}^\lambda x_{kn}^\mu x_{ij}^{-\lambda}$  is equal to  $x_{kn}^\mu$  if  $j \neq k$  and to  $x_{in}^\lambda x_{kn}^\mu$  if  $j = k$ . But  $x_{kn}^\mu, x_{in}^\lambda x_{kn}^\mu \in P_n$ .

Since  $y \in St_{n-1}(A)$ , it follows that

$$yP_ny^{-1} \subseteq P_n$$

But  $\Phi(y) = I$ , thus  $\Phi(ypy^{-1}) = \Phi(p)$ ,  $\forall p \in P_n$ . By the lemma 4.5, we get that  $ypy^{-1} = p$  and so that  $y$  commutes with every element of  $P_n$ . Therefore  $y$  commutes with every generator  $x_{kn}^\mu$ ,  $k < n$ .

By an analogous argument we can show that  $y$  also commutes with every generator  $x_{nl}^\mu$ ,  $l < n$ . Hence  $y$  commutes with the commutator

$$[x_{kn}^\mu, x_{nl}^1] = x_{kl}^\mu$$

for all  $k, l < n$ ,  $k \neq l$ . Since  $n$  can be as large as we want,  $y$  lies in the center of  $St(A)$ .

**Corollary 4.7**  $K_2(A)$  is an abelian group.

**Theorem 4.8**  $K_2(-)$  is a covariant functor from the category of rings and homomorphisms of rings to the category of abelian groups and homomorphisms of groups.

*Proof.* Let  $A_1$  and  $A_2$  be two rings and  $\phi : A_1 \rightarrow A_2$  be a ring homomorphism. We have seen in chapter 3 that  $\phi$  induces an homomorphism  $GL(\phi) : GL(A_1) \rightarrow GL(A_2)$ . Clearly this homomorphism satisfies  $GL(\phi)(E(A_1)) \subseteq E(A_2)$ . We define

$$\begin{aligned} \phi' : St(A_1) &\longrightarrow St(A_2) \\ x_{ij}^\lambda &\longmapsto x_{ij}^{\phi(\lambda)} \end{aligned}$$

and  $K_2(\phi) := \phi'|_{K_2(A_1)}$ . Then the following diagram commutes :

$$\begin{array}{ccccccc} 0 & \longrightarrow & K_2(A_1) & \longrightarrow & St(A_1) & \xrightarrow{\Phi_1} & E(A) \longrightarrow 0 \\ & & & & \downarrow \phi' & & \downarrow GL(\phi) \\ 0 & \longrightarrow & K_2(A_2) & \longrightarrow & St(A_2) & \xrightarrow{\Phi_2} & E(A_2) \longrightarrow 0 \end{array}$$

For  $y \in K_2(A_1)$ , we get by definition of  $K_2(A_1)$  that  $\Phi_1(y) = 0$ . Therefore  $(GL(\phi) \circ \Phi_1)(y) = 0$ . Thus  $(\Phi_2 \circ \phi')(y) = 0$  and so  $\phi'(y) \in \ker \Phi_2 = K_2(A_2)$ . Hence  $K_2(\phi) : K_2(A_1) \rightarrow K_2(A_2)$  is well defined, and make  $K_2(-)$  a covariant functor.

## 4.2 Universal central extensions

**Definition 4.9** An extension of a group  $G$  is a pair  $(X, \phi)$  consisting of a group  $X$  and an homomorphism of groups  $\phi$  from  $X$  onto  $G$ .

If  $\ker(\phi)$  is a subset of the center of  $X$  we say that  $(X, \phi)$  is a central extension.



**Definition 4.10** A central extension  $(X, \phi)$  of a group  $G$  splits if it admits a section, that is an homomorphism  $s : G \rightarrow X$  so that  $\phi \circ s = Id_G$ .

**Proposition 4.11** If a central extension  $(X, \phi)$  of a group  $G$  splits then  $X \cong G \times \ker \phi$ .

*Proof.* Since  $(X, \phi)$  is a split extension of  $G$  we have a split short exact sequence

$$1 \rightarrow \ker \phi \rightarrow X \xrightarrow{\phi} G \rightarrow 1$$

By the lemma 3.9,  $X \cong G \times \ker \phi$ .

**Remark** The splitting is given by

$$\begin{aligned} G \times \ker \phi &\rightarrow X \\ (g, x) &\mapsto s(g)x \end{aligned}$$

**Definition 4.12** A central extension  $(U, \nu)$  of a group  $G$  is called universal if, for every central extension  $(X, \phi)$  of  $G$ , there exists one and only one homomorphism from  $U$  to  $X$  over  $G$ . (That is, there exists one and only one homomorphism  $h : U \rightarrow X$  satisfying  $\phi \circ h = \nu$ .)

We have then this commutative diagram :

$$\begin{array}{ccc} & X & \xrightarrow{\forall \phi} G \\ & \uparrow & \nearrow \\ \exists! h & & \\ & U & \xrightarrow{\nu} \end{array}$$

**Remark** A universal central extension is always unique up to isomorphism over  $G$ .

**Definition 4.13** A group  $G$  is called perfect if it is equal to its commutator subgroup  $[G, G]$ .

**Examples**

1. Since  $[e_{ik}^\lambda, e_{kj}^1] = e_{ij}^\lambda$  if  $i \neq j$ , then  $E(A) = [E(A), E(A)]$  and so  $E(A)$  is perfect.
2. Since  $[x_{ik}^\lambda, x_{kj}^1] = x_{ij}^\lambda$  if  $i \neq j$ , then  $St(A) = [St(A), St(A)]$  and so  $St(A)$  is perfect.

**Proposition 4.14** Let  $(Y, \psi)$  be a central extension of a group  $G$ . Then  $Y$  is perfect if and only if for all central extension  $(X, \phi)$  of  $G$  there exists at most one homomorphism  $Y \rightarrow X$  over  $G$ .

*Proof.* First suppose that  $Y$  is a perfect group and let  $(X, \phi)$  be a central extension of  $G$ . Let  $f_1$  and  $f_2$  be homomorphisms from  $Y$  to  $X$  over  $G$ , meaning that  $\phi \circ f_1 = \psi = \phi \circ f_2$ . Hence we get, for all  $y \in Y$ ,

$$\begin{aligned}\phi(f_2(y^{-1})f_1(y)) &= \phi(f_2(y^{-1}))\phi(f_1(y)) = \phi(f_2(y))^{-1}\phi(f_1(y)) \\ &= \psi(y)^{-1}\psi(y) = 1\end{aligned}$$

Then for any  $y, z \in Y$  there exists  $c, d \in \ker \phi$  so that

$$f_1(y) = f_2(y)c, \quad f_1(z) = f_2(z)d$$

Since  $\ker \phi$  is included in the center of  $X$ , then  $c, d$  are in the center of  $X$ . Therefore

$$\begin{aligned}f_1(yzy^{-1}z^{-1}) &= f_1(y)f_1(z)f_1(y)^{-1}f_1(z)^{-1} \\ &= f_2(y)c f_2(z)dc^{-1}f_2(y)^{-1}d^{-1}f_2(z)^{-1} \\ &= f_2(y)f_2(z)f_2(y)^{-1}f_2(z)^{-1} \\ &= f_2(yzy^{-1}z^{-1})\end{aligned}$$

and so  $f_1 = f_2$ , since  $Y$  is generated by commutators.

Conversely, suppose that  $Y$  isn't perfect. So there is a non-zero homomorphism  $\alpha : Y \rightarrow H$ , where  $H$  is an abelian group. Let  $(G \times H, \phi)$  be the central extension of  $G$  defined by  $\phi(g, h) = g$ . Clearly this extension is split, with section  $s(g) = (g, 1)$ . Setting

$$f_1(y) := (\psi(y), 1), \quad f_2(y) := (\psi(y), \alpha(y))$$

we obtain two distinct homomorphisms from  $Y$  to  $G \times H$  over  $G$ .

**Lemma 4.15** *If  $(X, \phi)$  is a central extension of a perfect group  $G$ , then the commutator subgroup  $X' := [X, X]$  is perfect and maps onto  $G$ .*

*Proof.* Let  $g_1, g_2 \in G$ . Then there exists  $x_1, x_2 \in X$  so that  $\phi(x_1) = g_1$  and  $\phi(x_2) = g_2$ . So we get

$$\phi(x_1x_2x_1^{-1}x_2^{-1}) = g_1g_2g_1^{-1}g_2^{-1}$$

and then  $\phi$  maps  $X'$  onto  $G$ , since  $G$  is generated by commutators.

Furthermore, for all  $x \in X$  there exists  $x' \in X'$  so that  $\phi(x') = \phi(x)$ . In consequence there exists  $c \in \ker \phi$  (and so  $c$  is in the center of  $X$ ) so that  $x = x'c$ . Then for  $x_1, x_2 \in X$ , there exists  $x'_1, x'_2 \in X'$  and  $c_1, c_2$  in the center of  $X$  so that  $x_1 = x'_1c_1$  and  $x_2 = x'_2c_2$ . So we get

$$\begin{aligned}[x_1, x_2] &= x_1x_2x_1^{-1}x_2^{-1} = x'_1c_1x'_2c_2c_1^{-1}x_1'^{-1}c_2^{-1}x_2'^{-1} \\ &= x'_1x'_2x_1'^{-1}x_2'^{-1} = [x'_1, x'_2]\end{aligned}$$

and then  $X' = [X', X']$ .

**Proposition 4.16** *A central extension  $(U, \nu)$  of a group  $G$  is universal if and only if  $U$  is perfect and if every central extension of  $U$  splits.*

*Proof.* First suppose that  $U$  is perfect and every central extension of  $U$  splits. Let  $(X, \phi)$  be a central extension of  $G$  and  $U \times_G X$  be the subgroup of  $U \times X$  consisting of all  $(u, x)$  with  $\nu(u) = \phi(x)$ . Then we define

$$\begin{aligned} \pi : U \times_G X &\longrightarrow U \\ (u, x) &\longmapsto u \end{aligned}$$

which is surjective since  $\phi$  is onto  $G$ . Further,  $\ker \pi = \{(0, x) \mid x \in \ker \phi\} = \{0\} \times \ker \phi$  commutes with every elements of  $U \times_G X$ , since  $(X, \phi)$  is a central extension. Then  $(U \times_G X, \pi)$  is a central extension of  $U$ , and by hypothesis has a section  $s : U \longrightarrow U \times_G X$ . Writing  $s(u) = (s_1(u), s_2(u))$ , we define

$$\begin{aligned} h : U &\longrightarrow X \\ u &\longmapsto s_2(u) \end{aligned}$$

Since  $\pi \circ s = Id_U$ , then  $s_1(u) = u$ . So  $\phi(h(u)) = \phi(s_2(u)) = \nu(s_1(u)) = \nu(u)$  by the definition of  $U \times_G X$ , and then  $h$  is an homomorphism from  $U$  to  $X$  over  $G$ . The uniqueness comes from the proposition 4.14, since  $U$  is perfect.

Conversely, suppose now that  $(U, \nu)$  is a universal extension of  $G$ . From the proposition 4.14 it comes that  $U$  is perfect. Let  $(X, \phi)$  be a central extension of  $U$ . We will prove that  $(X, \nu \circ \phi)$  is a central extension of  $G$ .

Let  $x_0 \in \ker(\nu \circ \phi)$ . Then  $\phi(x_0) \in \ker \nu$  and therefore  $\phi(x_0)$  belongs to the center of  $U$ , since  $(X, \phi)$  is central. Thus we get  $\phi(x) = \phi(x_0)\phi(x_0^{-1})\phi(x) = \phi(x_0)\phi(x)\phi(x_0^{-1})$  and then there is an homomorphism from  $X$  to  $X$  over  $U$  defined as follows :

$$\begin{aligned} f : X &\longrightarrow X \\ x &\longmapsto x_0 x x_0^{-1} \end{aligned}$$

It comes from lemma 4.15 that the commutator subgroup  $X'$  is perfect and then from the proposition 4.14 that the homomorphism  $f|_{X'} : X' \longrightarrow X'$  over  $U$  is the identity. Thus  $x_0$  commutes with every elements of  $X'$ . But  $U$  is perfect and so, by lemma 4.15, there exists  $x' \in X'$  so that  $\phi(x') = \phi(x_0)$  and therefore  $x_0 = x'c$  for a  $c \in \ker \phi$ . Since the extension is central, it follows that  $x_0$  commutes with every  $x \in X$ . Thus  $(X, \nu \circ \phi)$  is a central extension of  $G$ .

Since  $(U, \nu)$  is universal, there exists an homomorphism  $s : U \longrightarrow X$  over  $G$ . So  $\phi \circ s$  gives an homomorphism from  $U$  to  $U$  over  $G$ , hence equals to the identity by proposition 4.14. Thus  $s$  is a section of  $(X, \phi)$ .

**Lemma 4.17** *Let  $G$  be a group and  $u, v, w \in G$ . then*

1.  $[u, v] = [v, u]^{-1}$
2.  $[u, v][u, w] = [u, vw][v, [w, u]]$
3.  $[u, [v, w]][v, [w, u]][w, [u, v]] \equiv 1 \pmod{G''}$

where  $G'' := [[G, G], [G, G]]$  is the second commutator subgroup.

*Proof.* 1.  $[u, v] = uvu^{-1}v^{-1} = (vuv^{-1}u^{-1})^{-1} = [v, u]^{-1}$ .

$$\begin{aligned} 2. \quad [u, vw][v, [w, u]] &= uvwu^{-1}w^{-1}v^{-1}vwuw^{-1}u^{-1}v^{-1}uwu^{-1}w^{-1} \\ &= uvu^{-1}v^{-1}uwu^{-1}w^{-1} \\ &= [u, v][u, w] \end{aligned}$$

3. By the first parts, we get that

$$\begin{aligned} [v, [w, u]] &= [u, vw]^{-1}[u, v][u, w] \\ &= [vw, u][u, v][u, w] \end{aligned}$$

Hence

$$\begin{aligned} [u, [v, w]][v, [w, u]][w, [u, v]] &= \\ &= [uv, w][w, u][w, v][vw, u][u, v][u, w][wu, v][v, w][v, u] \\ &\equiv [uv, w][vw, u][wu, v][w, u][w, v][u, v][u, w][v, w][v, u] \pmod{G''} \\ &\equiv [uv, w][wu, v][vw, u] \pmod{G''} \\ &\equiv uvwv^{-1}u^{-1}w^{-1}wvuv^{-1}w^{-1}v^{-1}vwuw^{-1}v^{-1}u^{-1} \pmod{G''} \\ &\equiv uvww^{-1}v^{-1}u^{-1} \pmod{G''} \\ &\equiv 1 \pmod{G''} \end{aligned}$$

**Theorem 4.18** *The Steinberg group  $St(A)$  is actually the universal central extension of  $E(A)$ .*

*Proof.* Let  $n \in \mathbb{N}$  so that  $n \geq 5$ . First we consider a central extension

$$1 \longrightarrow C \longrightarrow Y \xrightarrow{\phi} St_n(A) \longrightarrow 1$$

Given  $x, x' \in St_n(A)$  we take  $y \in \phi^{-1}(x)$  and  $y' \in \phi^{-1}(x')$ . We see that the commutator  $[y, y']$  does not depend on the choice of  $y$  and  $y'$ . Effectively, let  $z \in \phi^{-1}(x)$ . Then we get

$$\phi(y^{-1}z) = \phi(y)^{-1}\phi(z) = x^{-1}x = 1$$

So we can choose  $c \in \ker(\phi)$  so that  $z = yc$  and, by a similar argument,  $c' \in \ker \phi$  so that  $z' = y'c'$ . Since the extension is central we have that  $c$  and  $c'$  are in the center of  $Y$  and so

$$[z, z'] = [yc, y'c'] = ycy'c'(yc)^{-1}(y'c')^{-1} = yy'y^{-1}y'^{-1} = [y, y']$$

Now let  $x_{hi}^1, x_{jk}^\mu$  be generators of  $St_n(A)$ . We suppose that  $i, j, k, h$  are distinct. Since  $n \geq 5$  we can choose an  $l \leq n$  distinct of  $i, j, k$  and  $h$ . Choosing

$$y \in \phi^{-1}(x_{hl}^1), \quad y' \in \phi^{-1}(x_{li}^1), \quad w \in \phi^{-1}(x_{jk}^\mu)$$

we have that  $[y, y'] \in \phi^{-1}(x_{hi}^1)$  by 2 in definition 4.1. By the relation 3 we get that  $[x_{hl}^1, x_{jk}^\mu] = 1$  and so that  $[y, w] \in C$ . As the same  $[y', w] \in C$ . This means that  $y$  and  $y'$  commute with  $w$  up to a central element and then that  $[y, y']$  commutes with  $w$ . Thus we obtain

$$[\phi^{-1}(x_{hi}^1), \phi^{-1}(x_{jk}^\mu)] = [[y, y'], w] = 1$$

Now choose  $u \in \phi^{-1}(x_{hi}^1)$  and  $v \in \phi^{-1}(x_{ij}^\lambda)$ . Then  $[u, w] = 1$ . Further, if  $G$  is the subgroup of  $Y$  generated by  $u, v$  and  $w$ , then it follows from the relation 3 in the definition 4.1 that the commutator subgroup  $G' = [G, G]$  is generated by elements in  $\phi^{-1}(x_{hj}^\lambda), \phi^{-1}(x_{ik}^{\lambda\mu})$  and  $\phi^{-1}(x_{hk}^{\lambda\mu})$ . Then the second commutator subgroup  $G'' = [G', G']$  is trivial. Therefore, by lemma 4.17,

$$[u, [v, w]] = [[u, v], w][[w, u], v] = [[u, v], w][1, w] = [[u, v], w]$$

and so that  $[\phi^{-1}(x_{hj}^\lambda), \phi^{-1}(x_{jk}^\mu)] = [\phi^{-1}(x_{hi}^1), \phi^{-1}(x_{ik}^{\lambda\mu})]$ . Taking  $\lambda = 1$ , we obtain

$$[\phi^{-1}(x_{hj}^1), \phi^{-1}(x_{jk}^\mu)] = [\phi^{-1}(x_{hi}^1), \phi^{-1}(x_{ik}^\mu)]$$

and so the element

$$s_{hk}^\mu := [\phi^{-1}(x_{hj}^\lambda), \phi^{-1}(x_{jk}^\mu)]$$

does not depend on the choice of  $j$ . Now it remains us to prove that these elements  $s_{hk}^\mu$  satisfy the three Steinberg relations in definition 4.1. Then we will have that the correspondence  $x_{hk}^\mu \mapsto s_{hk}^\mu$  gives a well defined homomorphism from  $St_n(A)$  to  $Y$  and that it is a section for

$$1 \longrightarrow C \longrightarrow Y \xrightarrow{\phi} St_n(A) \longrightarrow 1$$

Then every central extension of  $St_n(A)$  splits and, passing to the limit when  $n \rightarrow \infty$ , every central extension of  $St(A)$  splits. Thus we will be able to conclude from the fact that  $St(A)$  is perfect and with the proposition 4.16.

Since  $s_{hk}^\mu \in \phi^{-1}(x_{hk}^\mu)$ , we have the relation

$$[s_{hj}^\lambda, s_{jk}^\mu] = s_{hk}^{\lambda\mu}$$

for  $h, j, k$  distinct. Let  $u \in \phi^{-1}(x_{hj}^1)$ ,  $v \in \phi^{-1}(x_{jk}^\lambda)$  and  $w \in \phi^{-1}(x_{jk}^\mu)$ . From the relation 2 in lemma 4.17, we get that

$$s_{hk}^\lambda s_{hk}^\mu = [u, v][u, w] = [u, vw][v, [w, u]]$$

But  $[u, vw] = [\phi^{-1}(x_{hj}^1), \phi^{-1}(x_{jk}^{\lambda+\mu})] = s_{hk}^{\lambda+\mu}$  and  $[v, [w, u]] = [v, [u, w]^{-1}] = [\phi^{-1}(x_{jk}^\lambda), \phi^{-1}(x_{hk}^{-\mu})] = 1$ . So we obtain

$$s_{hk}^\lambda s_{hk}^\mu = s_{hk}^{\lambda+\mu}$$

Finally, we have from the first part of the proof that  $[\phi^{-1}(x_{hi}^1), \phi^{-1}(x_{jk}^\mu)] = 1$  and so the three Steinberg relations are proved.

## Chapter 5

# Higher $K$ -theory groups

For this chapter, we suppose known the notions of action, fundamental group, covering space, universal covering space, fibration and cofibration and the theorem of van Kampen.

### 5.1 The $B$ -construction

**Definition 5.1** *Let  $n \in \mathbb{N}$ . The standard  $n$ -simplex is the convex subset of  $\mathbb{R}^{n+1}$  defined by*

$$\Delta^n := \{(t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_{i=0}^n t_i = 1, t_i \geq 0\}$$

*The points  $e_k = (0, \dots, 0, 1, 0, \dots, 0)$ , with the 1 at the  $k$ -th position, are called the vertices of the simplex.*

*The sets  $f_k := \{(t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_{i=0}^n t_i = 1, t_i \geq 0, t_k = 0\}$  are called the faces of the simplex.*

*$\Delta^n$  is oriented by the natural ordering of its vertices and any face spanned by a subset of the vertices inherits an orientation as a subset of the vertices of  $\Delta^n$ . Hence each face is canonically isomorphic to  $\Delta^{n-1}$ , preserving the ordering.*

#### Examples

- For  $n = 0$  we obtain the point 1 in  $\mathbb{R}$ .
- The standard 1-simplex is the oriented segment from  $(1, 0)$  to  $(0, 1)$  in  $\mathbb{R}^2$ .

- The standard 2-simplex is the triangle in  $\mathbb{R}^3$  with vertices  $e_0 = (1, 0, 0)$ ,  $e_1 = (0, 1, 0)$  and  $e_2 = (0, 0, 1)$ . Its edges are the oriented segments  $[e_0, e_1]$ ,  $[e_1, e_2]$  and  $[e_0, e_2]$ .
- For  $n = 3$ , we obtain the tetrahedron seen in  $\mathbb{R}^4$  with vertices  $(1, 0, 0, 0)$ ,  $(0, 1, 0, 0)$ ,  $(0, 0, 1, 0)$  and  $(0, 0, 0, 1)$ .

**Definition 5.2** A  $\Delta$ -complex structure on a topological space  $X$  is a collection of continuous maps  $\sigma_\alpha : \Delta_\alpha^n \rightarrow X$ , with  $n$  depending on the index  $\alpha$ , so that :

1. The restriction  $\sigma_\alpha|_{\text{int}(\Delta_\alpha^n)}$  is injective, and each point of  $X$  is the image of exactly one such restriction.
2. Each restriction of  $\sigma_\alpha$  to a face of the  $n$ -simplex  $\Delta_\alpha^n$  is one of the maps  $\sigma_\beta : \Delta_\beta^{n-1} \rightarrow X$ . Here we identify the faces of  $\Delta_\alpha^n$  with a  $(n-1)$ -simplex in the canonical way, preserving the ordering of the vertices.
3. A subset  $A \subseteq X$  is open if and only if  $\sigma_\alpha^{-1}(A)$  is open in  $\Delta_\alpha^n$  for every  $\alpha$ .

**Remark** With the condition 3, we can think of a  $\Delta$ -complex as a quotient space of a collection of disjoint  $n$ -simplices, one for each  $\alpha$ , the quotient space obtained by identifying each face of a  $\Delta_\alpha^n$  with the  $\Delta_\beta^{n-1}$  corresponding to the restriction  $\sigma_\beta$  of  $\sigma_\alpha$  to the face, as in condition 2.

**Definition 5.3** Let  $G$  be a group. For every  $(n+1)$ -tuple  $(g_0, g_1, \dots, g_n)$  of elements of  $G$  we write  $[g_0, g_1, \dots, g_n]$  for the  $n$ -simplex obtained by identifying  $g_i$  with  $e_i$ ,  $\forall i \in \mathbb{N}$ ,  $i \leq n$ .

**Definition 5.4** Let  $G$  be a group. We note  $EG$  the  $\Delta$ -complex whose  $n$ -simplices are all the ordered  $(n+1)$ -tuples  $[g_0, g_1, \dots, g_n]$  composed of elements of  $G$  and whose faces  $f_k$  are attached to the  $n$ -simplices  $[g_0, \dots, g_{k-1}, g_{k+1}, \dots, g_n]$ .

**Example** If  $G = \mathbb{Z}/2 \cong \{0, 1\}$ , then we construct  $EG$  as follows :

- First the 0-simplices are  $[0]$  and  $[1]$
- The 1-simplices are  $[0, 0]$ ,  $[0, 1]$ ,  $[1, 0]$  and  $[1, 1]$ . Then we attach the vertices of  $[0, 0]$  to  $[0]$ , the first vertex of  $[0, 1]$  to  $[0]$  and the last to  $[1]$ , etc. We obtain
- There is eight 2-simplices  $[e_0, e_1, e_2]$ . We attach the faces of  $[e_0, e_1, e_2]$  to the 1-simplices  $[e_0, e_1]$ ,  $[e_0, e_2]$  and  $[e_1, e_2]$ .
- And so on...



**Proposition 5.5**  $G$  acts freely on  $EG$ , with action defined by

$$\begin{aligned} g : EG &\longrightarrow EG \\ [g_0, g_1, \dots, g_n] &\longmapsto [gg_0, gg_1, \dots, gg_n] \end{aligned}$$

$\forall n \in \mathbb{N}, \forall g \in G$ .

*Proof.* First we have to show that for  $g, h \in G$  we have  $g \circ h = gh$ .

$$\begin{aligned} g(h([g_0, g_1, \dots, g_n])) &= g([hg_0, hg_1, \dots, hg_n]) = [ghg_0, ghg_1, \dots, ghg_n] \\ &= (gh)([g_0, g_1, \dots, g_n]) \end{aligned}$$

and so  $g \circ h = gh$ .

Furthermore we get that for every  $g \in G$ ,  $g$  is a permutation of  $EG$ , i.e.  $g$  is a bijection. Effectively,  $g$  has an inverse  $g^{-1}$  in  $G$ . Then  $g \circ g^{-1} = gg^{-1} = e = g^{-1}g = g^{-1} \circ g$  and  $e = Id_{EG}$ .

Now we have to prove that this action is free, meaning that there is no  $n$ -simplex  $[g_0, g_1, \dots, g_n] \in EG$  and no  $g \in G$  other than  $e$  so that  $g([g_0, g_1, \dots, g_n]) = [gg_0, gg_1, \dots, gg_n] = [g_0, g_1, \dots, g_n]$ . But

$$\begin{aligned} [gg_0, gg_1, \dots, gg_n] = [g_0, g_1, \dots, g_n] &\implies gg_0 = g_0 \\ &\iff gg_0g_0^{-1} = g_0g_0^{-1} \iff g = e \end{aligned}$$

**Definition 5.6** Let  $G$  be a group. The  $B$ -construction of  $G$  is the orbit space  $BG := EG/G$  of the action of the proposition 5.5.

**Lemma 5.7** Let  $G$  be a group and  $g \in G$ . Then each  $y \in EG$  has a neighborhood  $U$  so that  $U \cap g(U) = \emptyset$  if  $g \neq e$ .

*Proof.* The proof is based on the fact that  $G$  is acting freely and that an  $n$ -simplex is sent to a  $n$ -simplex by any element  $g \in G$ .

**Proposition 5.8** Let  $G$  be a group. The quotient map  $q : EG \longrightarrow BG$  defined by  $q(x) = Gx$  is a universal covering space.

*Proof.* It is clear that  $q$  is surjective. Let  $y \in Y$  and let  $U$  be a neighborhood of  $y$  as in lemma 5.7. Then we get that the sets  $g(U), g \in G$ , are disjoint and that

$$q^{-1}(q(U)) = \coprod_{g \in G} g(U)$$

But for every  $g \in G$ , the definition of the quotient topology gives that  $q$  is an homeomorphism from  $g(U)$  to  $q(U)$ . Then  $q : EG \longrightarrow BG$  is a covering space. Clearly,  $EG$  is path-connected. It remains us to prove that  $\pi_1(EG) = 0$ , i.e.  $EG$  is contractible.

Let  $[g_0, \dots, g_n] \in EG$  and  $x \in [g_0, \dots, g_n]$ . Identifying  $[g_0, \dots, g_n]$  with  $\Delta^n$  we can write  $x = \sum_{i=0}^n t_i e_i$ . Then we identify  $\Delta^{n+1} = [e_0, \dots, e_n, e_{n+1}]$  with

$[g_0, \dots, g_n, e]$  and we see  $x$  in  $\Delta^{n+1}$  in the canonical way :  $x = \sum_{i=0}^n t_i e_i + 0e_{i+1}$ .

Thus we can define the homotopy

$$H : [0, 1] \times \Delta^{n+1} \longrightarrow \Delta^{n+1}$$

$$(s, x) \longmapsto (1-s) \sum_{i=0}^n t_i e_i + s e_{n+1}$$

Clearly  $H(0, x) = x$  and  $H(1, x) = [e]$ . Then  $H$  is an homotopy from  $Id_{EG}$  to the projection  $EG \longrightarrow [e]$ . Then  $EG$  is contractible.

**Proposition 5.9** *Let  $G$  be a group. Then  $\pi_0(BG) = 0$  and  $\pi_1(BG) \cong G$ .*

*Proof.* Since proposition 5.8,  $q$  is a fibration and  $\pi_0(EG) = 0 = \pi_1(EG)$ . We note  $F$  for the fiber  $q^{-1}(G[e])$ . Since

$$g^{-1}([g]) = [g^{-1}g] = [e]$$

we have that  $[g] \in G[e]$  and so that  $G[g] = G[e]$ . Thus  $[g] \in F, \forall g \in G$ . But it is clear that if  $n \geq 1$ ,  $q([g_0, g_1, \dots, g_n])$  is a set of  $n$ -simplex and each of them cannot be equal to  $g[e]$ . Then we get

$$F = \{[g] \mid g \in G\} \cong G$$

In this case, the long exact sequence of the fibration  $q$  gives

$$0 = \pi_1(EG) \longrightarrow \pi_1(BG) \longrightarrow \pi_0(F) \cong \pi_0(G) \longrightarrow \pi_0(EG) = 0$$

Since  $G$  is a discrete space,  $\pi_0(G) \cong G$  and so

$$\pi_1(BG) \cong G$$

Let  $x, y \in BG$ . Since  $q$  is surjective, there exists  $x', y' \in EG$  so that  $q(x') = x$  and  $q(y') = y$ . Since  $EG$  is path-connected, there is a path  $\gamma$  in  $EG$  from  $x'$  to  $y'$ . Then  $q(\gamma)$  gives a path in  $BG$  from  $x$  to  $y$ . Then  $BG$  is path-connected and therefore  $\pi_0(BG) = 0$ .

## 5.2 Singular homology

In this section, we will briefly introduce the notion of singular homology, since we will need it in the next part to define the  $K$ -theory groups. Most of the properties won't be proved here.

**Definition 5.10** Let  $n \in \mathbb{N}$ . A singular  $n$ -simplex in a space  $X$  is a continuous map  $\sigma : \Delta^n \rightarrow X$ .

**Definition 5.11** Let  $X$  be a topological space and  $n \in \mathbb{N}$ . We denote by  $C_n(X)$  the free abelian group with basis the set of singular  $n$ -simplices in  $X$ . We call an element of  $C_n(X)$  a singular  $n$ -chain.

**Remark** A singular  $n$ -chain is a finite formal sum  $\sum_{i=1}^k n_i \sigma_i$  where  $n_i \in \mathbb{Z}$  and  $\sigma_i : \Delta^n \rightarrow X$ .

**Definition 5.12** Let  $X$  be a topological space and  $n \in \mathbb{N}^*$ . We define the boundary map  $\partial_n : C_n(X) \rightarrow C_{n-1}(X)$  by the homomorphism given by formula

$$\partial_n(\sigma) = \sum_{i=0}^n (-1)^i \sigma|_{[e_0, \dots, e_{i-1}, e_{i+1}, \dots, e_n]}$$

In this formula, there is an identification of  $[e_0, \dots, e_{i-1}, e_{i+1}, \dots, e_n]$  with  $\Delta^{n-1}$ , preserving the ordering of vertices, so that  $\sigma|_{[e_0, \dots, e_{i-1}, e_{i+1}, \dots, e_n]}$  is regarded as a singular  $(n-1)$ -simplex  $\Delta^{n-1} \rightarrow X$ .

**Remark** To define  $\partial_0$ , we have to define  $C_{-1}(X)$  as the free abelian group with basis the empty set. So  $C_{-1}$  is the trivial group and then  $\partial_0$  is the trivial homomorphism.

**Lemma 5.13** The composition  $\partial_n \circ \partial_{n+1} : C_{n+1}(X) \rightarrow C_{n-1}(X)$  is zero,  $\forall n \in \mathbb{N}$ .

*Proof.* For  $n = 0$ , the lemma is trivial. We will prove the lemma in the case  $n = 1$ .

$$\begin{aligned} \partial_1(\partial_2(\sigma)) &= \partial_1(\sigma|_{[e_1, e_2]} - \sigma|_{[e_0, e_2]} + \sigma|_{[e_0, e_1]}) \\ &= \sigma|_{[e_2]} - \sigma|_{[e_1]} - \sigma|_{[e_2]} + \sigma|_{[e_0]} + \sigma|_{[e_1]} - \sigma|_{[e_0]} = 0 \end{aligned}$$

**Definition 5.14** Let  $X$  be a topological space and  $n \in \mathbb{N}$ . We define the  $n$ -th singular homology group by

$$H_n(X) := \ker(\partial_n) / \text{Im}(\partial_{n+1})$$

This is well defined since the preceding lemma.

**Remark** Let  $X, Y$  be topological spaces and  $f : X \rightarrow Y$  a continuous map. Then  $f$  induces an homomorphism from  $C_n(X)$  to  $C_n(Y)$ ,  $\forall n \in \mathbb{N}$ , in the following way. For every singular  $n$ -simplex  $\sigma$  in  $X$  we define  $f_{\#}(\sigma) := f \circ \sigma$ , which is an  $n$ -simplex in  $Y$ . Then we can extend  $f_{\#}$  to an homomorphism  $C_n(X) \rightarrow C_n(Y)$  by linearity.

**Theorem 5.15** *A continuous map  $f : X \rightarrow Y$  between topological spaces induces an homomorphism  $f_* : H_n(X) \rightarrow H_n(Y)$ ,  $\forall n \in \mathbb{N}$ . Moreover, if  $Z$  is a topological space and  $g : Y \rightarrow Z$  is a continuous map, then  $(g \circ f)_* = g_* \circ f_*$ .*

*Proof.* For the proof, consult [1], chap. 2, p. 111. This come from the fact that  $f_{\#}$  has the property  $\partial_n \circ f_{\#} = f_{\#} \circ \partial_n$ .

**Proposition 5.16** *Let  $X$  be a nonempty and path-connected space. Then*

$$H_0(X) \cong \mathbb{Z}$$

*Hence, for any space  $X$ ,  $H_0(X)$  is a direct sum of copies of  $\mathbb{Z}$ , one for each path-component of  $X$ .*

**Remark** The proof of the proposition 5.16 can be seen in [1], chap. 2, p. 109. From this proposition we see that if  $X$  is a point,  $H_0(X) \cong \mathbb{Z}$ . To avoid this fact, we make the following definition.

**Definition 5.17** *Let  $X$  be a topological space. We consider the projection  $X \rightarrow *$ , where  $*$  is a topological space made of one point. By the theorem 5.15, this induces an homomorphism*

$$H_n(X) \rightarrow H_n(*)$$

*for every  $n \in \mathbb{N}$ . We define the reduced singular homology group  $\tilde{H}_n$  as the kernel of this homomorphism.*

**Remark** In fact,  $\tilde{H}_n(X)$  is the group which makes the sequence

$$0 \rightarrow \tilde{H}_n(X) \rightarrow H_n(X) \rightarrow H_n(*) \rightarrow 0$$

short exact. Since  $H_0(*) \cong \mathbb{Z}$  and  $H_n(*) = 0$  for  $n \geq 1$  we get that

$$\tilde{H}_n(X) \cong H_n(X), \quad n \geq 1$$

and

$$\tilde{H}_0(X) = 0$$

if  $X$  is path connected.

**Remark** With the same hypothesis as in the theorem 5.15,  $f$  induces an homomorphism  $f_* : \tilde{H}_n(X) \rightarrow \tilde{H}_n(Y)$  with the same properties as in the theorem.

**Proposition 5.18** *Let  $X, Y$  be topological spaces and  $f, g$  be two maps from  $X$  to  $Y$ . If  $f \simeq g$ , then the two induced homomorphisms  $f_*$  and  $g_*$  are equal.*

*Proof.* The proof is not trivial. It can be read in [1], chap. 2, p. 112.

**Corollary 5.19** *Let  $X, Y$  be topological spaces. If  $X \simeq Y$ , then*

$$\tilde{H}_n(X) \cong \tilde{H}_n(Y)$$

$\forall n \in \mathbb{N}$ . In particular, if  $X$  is contractible, then  $\tilde{H}_n(X) = 0, \forall n \in \mathbb{N}$ .

*Proof.* By hypothesis, there exists  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  such that  $g \circ f \simeq Id_X$  and  $f \circ g \simeq Id_Y$ . By the preceding proposition we get that

$$(g \circ f)_* = Id_{\tilde{H}_n(X)} \quad \text{and} \quad (f \circ g)_* = Id_{\tilde{H}_n(Y)}$$

But since  $(g \circ f)_* = g_* \circ f_*$  and  $(f \circ g)_* = f_* \circ g_*$ , we get that  $g_* = (f_*)^{-1}$  and so  $f_* : \tilde{H}_n(X) \rightarrow \tilde{H}_n(Y)$  is an isomorphism.

**Proposition 5.20** *Let  $X$  be a topological space and  $A \subseteq X$  be a nonempty closed subspace that is a deformation retract of some neighborhood in  $X$ . Then we have a long exact sequence of reduced homology groups*

$$\begin{aligned} \dots \longrightarrow \tilde{H}_n(A) \xrightarrow{i_*} \tilde{H}_n(X) \xrightarrow{j_*} \tilde{H}_n(X/A) \longrightarrow \tilde{H}_{n-1}(A) \xrightarrow{i_*} \dots \\ \dots \longrightarrow \tilde{H}_0(X/A) \longrightarrow 0 \end{aligned}$$

where  $i_*, j_*$  are the homomorphisms induced by the inclusion  $i : A \hookrightarrow X$  and the quotient map  $j : X \rightarrow X/A$ .

**Remark** The proof of the preceding proposition can be seen in [1], chap. 2, p. 114. We arrive now to the principal result of this section, that will be useful to the next section : the Hurewicz theorem. This result is proved in [1], chap. 4, p. 366.

**Theorem 5.21 (Hurewicz)** *Let  $X$  be a  $(n-1)$ -connected space,  $n \geq 2$ . Then  $\tilde{H}_i(X) = 0$  for  $i < n$  and  $\pi_n(X) \cong \tilde{H}_n(X)$ .*

### 5.3 The plus-construction

**Definition 5.22** *A CW-complex is a topological space  $X$  so that  $X = \bigcup_{n \in \mathbb{N}} X_n$  where :*

1.  $X_0$  is a discrete space ;
2.  $\forall n > 0$ , there exists a set of indices  $I_n$  and a collection of maps

$$\{f_\alpha : S_\alpha^{n-1} \rightarrow X_{n-1} \mid \alpha \in I_n\}$$

so that  $X_n$  is the quotient space  $(X_{n-1} \amalg \coprod_{\alpha \in I_n} D_\alpha^n) / \sim$ , where we define  $f_\alpha(x) \sim x, \forall x \in \partial D_\alpha^n = S_\alpha^{n-1}, \forall \alpha \in I_n$  ;

3. A subset  $A \subseteq X$  is open if and only if  $A \cap X_n$  is open in  $X_n$  for every  $n \in \mathbb{N}$ .

**Example** A  $\Delta$ -complex is in particular a  $CW$ -complex.

**Definition 5.23** A continuous map  $f : X \rightarrow Y$  between two  $CW$ -complex is called cellular if  $f(X_n) \subseteq Y_n, \forall n \in \mathbb{N}$ .

**Definition 5.24** Let  $X, A$  be topological spaces and  $f : A \rightarrow X$  be a continuous map. We define the cone of  $A$  by

$$C(A) := ([0, 1] \times A) / \sim$$

where  $(0, a) \sim (0, a'), \forall a, a' \in A$ , and the mapping cone of  $f$  by

$$C(f) := (C(A) \amalg X) / \sim$$

where  $(1, a) \sim f(a), \forall a \in A$ .

### Examples

1. If  $f : A \rightarrow X$  is simply the inclusion of a subspace, then  $C(f) \simeq X/A$ .
2. If  $f : S^{n-1} \rightarrow D^n$  is the inclusion, then  $C(f) \cong S^n$ . Effectively, the cone  $C(S^{n-1})$  is clearly homeomorphic to  $D^n$ . Furthermore

$$(D_1^n \amalg D_2^n) / (\partial D_1^n \sim \partial D_2^n) \cong S^n$$

3. If  $X$  is a  $CW$ -complex and  $f : S^{n-1} \rightarrow X$  is a cellular map, then  $C(f)$  is the  $CW$ -complex  $(D^n \amalg X) / \sim$ , where  $f(x) \sim x, \forall x \in \partial D^n = S^{n-1}$ .
4. Extending the preceding example, if the space  $X$  is a  $CW$ -complex and if  $f_\alpha : S_\alpha^{n-1} \rightarrow X, \alpha \in I$ , are cellular maps, then

$$C(f) \cong \left( X \amalg \coprod_{\alpha \in I} D_\alpha^n \right) / \sim$$

where  $f_\alpha(x) \sim x, \forall x \in \partial D_\alpha^n = S_\alpha^{n-1}, \forall \alpha \in I$ .

**Proposition 5.25** Let  $X, A$  be topological spaces and  $f : A \rightarrow X$  be a continuous map. Then the sequence

$$A \xrightarrow{f} X \rightarrow C(f)$$

is a cofibration sequence. Moreover, the long exact sequence of this cofibration gives rise to a long exact sequence

$$\begin{aligned} \dots \longrightarrow \tilde{H}_n(A) \xrightarrow{f_*} \tilde{H}_n(X) \longrightarrow \tilde{H}_n(C(f)) \longrightarrow \tilde{H}_{n-1}(A) \xrightarrow{f_*} \dots \\ \dots \longrightarrow \tilde{H}_0(C(f)) \longrightarrow 0 \end{aligned}$$

*Proof.* For this result, consult [1], chap. 4, p. 460-462.

**Lemma 5.26** *Let  $I$  be a set of indices and  $X_\alpha, \alpha \in I$ , be topological spaces. Then*

$$\tilde{H}_n(\bigvee_{\alpha \in I} X_\alpha) \cong \bigoplus_{\alpha \in I} \tilde{H}_n(X_\alpha)$$

for every  $n \in \mathbb{N}$ .

*Proof.* The proof can be seen in [1], chap. 2, p. 126. In fact, this is the wedge axiom of a reduced homology theory and the reduced singular homology is one such theory.

**Lemma 5.27** *Let  $i \in \mathbb{N}$  and  $I$  be a set of indices. Then*

$$\tilde{H}_i(\bigvee_{\alpha \in I} S_\alpha^n) = 0 \text{ if } i \neq n$$

and

$$\tilde{H}_n(\bigvee_{\alpha \in I} S_\alpha^n) \cong \bigoplus_{\alpha \in I} \mathbb{Z}$$

*Proof.* As seen in example 2 above, we have a cofibration

$$S^{n-1} \hookrightarrow D^n \longrightarrow S^n$$

By the proposition 5.25, we get a short exact sequence

$$\begin{aligned} \dots \longrightarrow \tilde{H}_i(D^n) \longrightarrow \tilde{H}_i(S^n) \longrightarrow \tilde{H}_{i-1}(S^{n-1}) \longrightarrow \tilde{H}_{i-1}(D^n) \longrightarrow \dots \\ \dots \longrightarrow \tilde{H}_0(S^n) \longrightarrow 0 \end{aligned}$$

Since  $D^n$  is contractible,  $\tilde{H}_i(D^n) = 0, \forall i \in \mathbb{N}$ . Then we get an isomorphism

$$\tilde{H}_i(S^n) \cong \tilde{H}_{i-1}(S^{n-1})$$

$\forall i \in \mathbb{N}^*$ . Thus we just need to prove the lemma in the case  $n = 0$ .

For  $i \in \mathbb{N}$  and writing  $S^0 = \{a, b\}$ , we get directly from the definition that  $C_i(S^0)$  is the free abelian group with basis composed of  $\sigma_a : \Delta^i \longrightarrow a$  and  $\sigma_b : \Delta^i \longrightarrow b$ . Hence

$$C_i(S^0) \cong \mathbb{Z}\{a, b\}$$

Then the boundary maps are given by  $\partial_i(\sigma_a) = \sum_{k=0}^i (-1)^k a$  and  $\partial_i(\sigma_b) =$

$\sum_{k=0}^i (-1)^k b$ . In consequence, if  $i$  is odd,  $\partial_i$  is the trivial homomorphism and if  $i$  is even and  $i \geq 2$ ,  $\partial_i$  is the identity. Therefore

$$\tilde{H}_i(S^0) \cong H_i(S^0) = C_i(S^0)/C_i(S^0) = 0 \text{ if } i \text{ is odd}$$

and

$$\tilde{H}_i(S^0) \cong H_i(S^0) = 0/0 = 0 \text{ if } i \text{ is even and } i \geq 2$$

For  $i = 0$  we get  $H_0(S^0) = C_0(S^0)/0 \cong C_0(S^0) \cong \mathbb{Z}\{a, b\}$ . To find the reduced homology group we write the short exact sequence

$$0 \longrightarrow \tilde{H}_0(S^0) \longrightarrow H_0(S^0) \cong \mathbb{Z}\{a, b\} \longrightarrow H_0(*) \cong \mathbb{Z} \longrightarrow 0$$

But the homomorphism  $\mathbb{Z}\{a, b\} \longrightarrow \mathbb{Z}$  is given by  $a \longmapsto 1$  and  $b \longmapsto 1$  and so we get that the kernel of this homomorphism is  $\mathbb{Z}\{a - b\} \cong \mathbb{Z}$ .

**Remark** Now we arrive to the main theorem of this chapter, which will allow us to construct a topological space that will give the  $K$ -theory groups. In this theorem, we suppose that  $\tilde{H}_1(X) = 0$ , which means in fact that  $\pi_1(X)$  is a perfect group. Then in the corollary we will consider a perfect subgroup of  $\pi_1(X)$ .

**Theorem 5.28** *Let  $X$  be a connected CW-complex so that  $\tilde{H}_1(X) = 0$ . Then there exists a simply-connected CW-complex  $X^+$  and a continuous map  $f^+ : X \longrightarrow X^+$  inducing isomorphisms on all reduced homology groups.*

*Proof.* First we take for each generator of  $\pi_1(X)$  a map  $\varphi_\alpha : S^1 \longrightarrow X$ . Then we form  $X'$  as the quotient space

$$X' = \left( X \amalg \coprod_{\alpha \in I} D_\alpha^2 \right) / \sim$$

where  $\varphi_\alpha(x) \sim x, \forall x \in \partial D_\alpha^2 = S_\alpha^1, \forall \alpha \in I$ . By the cellular approximation theorem (see [1], chap. 4, p. 349), we can assume that every  $\varphi_\alpha$  is cellular, that is  $X'$  is a CW-complex. Since  $X$  is a CW-complex and is a subcomplex of  $X'$ , the hypothesis of the proposition 5.20 are satisfied (see [1], appendix, p. 523). Then we get the long exact sequence

$$\begin{aligned} \dots &\longrightarrow \tilde{H}_{i+1}(X'/X) \longrightarrow \tilde{H}_i(X) \longrightarrow \tilde{H}_i(X') \longrightarrow \tilde{H}_i(X'/X) \longrightarrow \dots \\ \dots &\longrightarrow \tilde{H}_3(X'/X) \longrightarrow \tilde{H}_2(X) \longrightarrow \tilde{H}_2(X') \longrightarrow \tilde{H}_2(X'/X) \longrightarrow \tilde{H}_1(X) \longrightarrow \dots \end{aligned}$$

By hypothesis,  $\tilde{H}_1(X) = 0$ . Furthermore, since we have attached  $D_\alpha^2$  to  $X$  to obtain  $X'$ , we get that  $X'/X \cong \bigvee_{\alpha \in I} S_\alpha^2$  and so lemma 5.26 gives

$$\tilde{H}_i(X'/X) \cong \tilde{H}_i\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \cong \bigoplus_{\alpha \in I} \tilde{H}_i(S_\alpha^2)$$

Hence we get  $\tilde{H}_i(X'/X) = 0$  if  $i \neq 2$  and  $\tilde{H}_2(X'/X) \cong \bigoplus_{\alpha \in I} \mathbb{Z}$  by lemma 5.27.

In consequence we have from the long exact sequence that

$$\tilde{H}_i(X') \cong \tilde{H}_i(X) \text{ if } i \neq 2$$



Since  $\bigoplus_{\alpha \in I} \mathbb{Z}$  is a free abelian group, we have that the short exact sequence

$$0 \longrightarrow \tilde{H}_2(X) \longrightarrow \tilde{H}_2(X') \longrightarrow \tilde{H}_2(X'/X) \longrightarrow 0$$

splits and thus from lemma 3.9

$$\tilde{H}_2(X') \cong \tilde{H}_2(X) \oplus \bigoplus_{\alpha \in I} \mathbb{Z}$$

From the construction of  $X'$  we have that  $\pi_1(X') = 0$ . Then by the Hurewicz theorem

$$\pi_2(X') \cong \tilde{H}_2(X') \cong \tilde{H}_2(X) \oplus \bigoplus_{\alpha \in I} \mathbb{Z}$$

Then taking generators for  $\tilde{H}_2(X'/X)$  they correspond by the isomorphism to elements  $[\psi_\alpha] \in \pi_2(X')$ ,  $\alpha \in I$ . We note  $X^+$  the quotient space

$$X^+ = \left( X' \amalg \prod_{\alpha \in I} D_\alpha^3 \right) / \sim$$

where  $\psi_\alpha(x) \sim x$ ,  $\forall x \in \partial D_\alpha^3 = S_\alpha^2$ ,  $\forall \alpha \in I$ . By the cellular approximation theorem, we can again assume that every  $\psi_\alpha$  is cellular, that is  $X^+$  is a  $CW$ -complex.

By the definition of  $X^+$  and the example 3 above, we get that

$$\bigvee_{\alpha \in I} S_\alpha^2 \xrightarrow{\vee \psi_\alpha} X' \longrightarrow X^+$$

is a cofibration sequence. Then by proposition 5.25 we get the long exact sequence

$$\begin{aligned} \dots &\longrightarrow \tilde{H}_i\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \longrightarrow \tilde{H}_i(X') \longrightarrow \tilde{H}_i(X^+) \longrightarrow \tilde{H}_{i-1}\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \longrightarrow \dots \\ \dots &\longrightarrow \tilde{H}_3\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \longrightarrow \tilde{H}_3(X') \longrightarrow \tilde{H}_3(X^+) \longrightarrow \tilde{H}_2\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \longrightarrow \\ &\longrightarrow \tilde{H}_2(X') \longrightarrow \tilde{H}_2(X^+) \longrightarrow \dots \longrightarrow \tilde{H}_0(X^+) \end{aligned}$$

Since lemma 5.27 we get  $\tilde{H}_i\left(\bigvee_{\alpha \in I} S_\alpha^2\right) = 0$  if  $i \neq 2$  and  $\tilde{H}_2\left(\bigvee_{\alpha \in I} S_\alpha^2\right) \cong \bigoplus_{\alpha \in I} \mathbb{Z}$ . In consequence we have from the long exact sequence that

$$\tilde{H}_i(X^+) \cong \tilde{H}_i(X') \cong \tilde{H}_i(X) \text{ if } i \neq 2, 3$$

and that

$$0 \longrightarrow \tilde{H}_3(X') \longrightarrow \tilde{H}_3(X^+) \longrightarrow \bigoplus_{\alpha \in I} \mathbb{Z} \xrightarrow{(\vee \psi_\alpha)_*} \tilde{H}_2(X') \longrightarrow \tilde{H}_2(X^+) \longrightarrow 0$$

is split exact. Given an element  $[f] \in \pi_2(\bigvee_{\alpha \in I} S_\alpha^2)$ , we get an element in  $\pi_2(X')$  by composing

$$S^2 \xrightarrow{f} \bigvee_{\alpha \in I} S_\alpha^2 \xrightarrow{\vee \psi_\alpha} X'$$

Since  $\pi_2(\bigvee_{\alpha \in I} S_\alpha^2) \cong \tilde{H}_2(\bigvee_{\alpha \in I} S_\alpha^2) \cong \bigoplus_{\alpha \in I} \mathbb{Z}$  by the Hurewicz theorem, the generators of  $\pi_2(\bigvee_{\alpha \in I} S_\alpha^2)$  are the equivalence classes of the maps

$$S^2 \xrightarrow{Id_{S^2}} S_\alpha^2 \subseteq \bigvee_{\alpha \in I} S_\alpha^2$$

for  $\alpha \in I$ . Thus the image of those generators in  $\pi_2(X')$  are in fact the  $\psi_\alpha$ ,  $\alpha \in I$ . Then the composition

$$\begin{array}{ccc} \tilde{H}_2(\bigoplus_{\alpha} \mathbb{Z}) \xrightarrow{(\vee \psi_\alpha)_*} \tilde{H}_2(X') & \xrightarrow{\cong} & \tilde{H}_2(X) \oplus \tilde{H}_2(\bigvee_{\alpha} S_\alpha^2) \\ \cong \uparrow & & \cong \uparrow \\ \pi_2(\bigvee_{\alpha} S_\alpha^2) & \longrightarrow & \pi_2(X') \end{array}$$

send  $\tilde{H}_2(\bigoplus_{\alpha \in I} \mathbb{Z})$  onto the corresponding factor  $\tilde{H}_2(\bigvee_{\alpha \in I} S_\alpha^2)$  in  $\tilde{H}_2(X')$  via  $(\vee \psi_\alpha)_*$ . Finally, the long exact sequence of the cofibration

$$\begin{array}{ccccccc} 0 \longrightarrow \tilde{H}_3(X') & \longrightarrow & \tilde{H}_3(X^+) & \longrightarrow & \tilde{H}_2(\bigoplus_{\alpha \in I} \mathbb{Z}) & \xrightarrow{\vee \psi_\alpha} & \\ & & & & & & \\ & & & & \xrightarrow{\vee \psi_\alpha} \tilde{H}_2(X') & \cong \tilde{H}_2(X) \oplus \tilde{H}_2(\bigvee_{\alpha \in I} S_\alpha^2) & \longrightarrow & \tilde{H}_2(X^+) & \longrightarrow & 0 \end{array}$$

gives  $\tilde{H}_3(X^+) \cong H_3(X') \cong \tilde{H}_3(X)$  and  $\tilde{H}_2(X^+) \cong \tilde{H}_2(X)$ . By construction,  $\pi_1(X^+) = \pi_1(X') = 0$  and so the theorem is proved.

**Corollary 5.29** *Let  $X$  be a connected CW-complex. Then for every perfect subgroup  $H$  of  $\pi_1(X)$  there is a connected CW-complex  $X^+$  so that  $\pi_1(X^+) \cong \pi_1(X)/H$  and  $H_n(X^+) \cong H_n(X)$ ,  $\forall n \in \mathbb{N}$ .*

*We call  $X^+$  the plus-construction of  $X$  with respect to the perfect subgroup  $H$ .*

*Proof.* By the classification theorem of covering spaces, there is a covering space  $p : \tilde{X} \rightarrow X$  so that  $\pi_1(\tilde{X}) \cong H$ . By theorem 5.28, there is a simply-connected CW-complex  $\tilde{X}^+$  and a map  $f^+ : \tilde{X} \rightarrow \tilde{X}^+$  so that  $\tilde{H}_i(\tilde{X}^+) \cong \tilde{H}_i(\tilde{X})$  via  $f^+$ . We define

$$M_p := (\tilde{X} \times [0, 1] \amalg X) / \sim$$

where  $(\tilde{x}, 1) \sim p(\tilde{x}), \forall \tilde{x} \in \tilde{X}$ , the mapping cylinder of  $p$ . Then we define

$$X^+ := (M_p \amalg \tilde{X}^+) / \sim$$

where  $(\tilde{x}, 0) \sim f^+(\tilde{x}), \forall \tilde{x} \in \tilde{X}$ . By the van Kampen theorem, we get that

$$\pi_1(M_p) / \pi_1(\tilde{X}) \cong \pi_1(X^+)$$

But since  $M_p \simeq X$  we get  $\pi_1(M_p) \cong \pi_1(X)$  and so

$$\pi_1(X^+) \cong \pi_1(X) / \pi_1(\tilde{X}) \cong \pi_1(X) / H$$

Clearly,  $X^+ / M_p \cong \tilde{X}^+ / \tilde{X}$ . Then for  $n \in \mathbb{N}$ ,

$$\tilde{H}_n(X^+ / M_p) \cong \tilde{H}_n(\tilde{X}^+ / \tilde{X}) \cong 0$$

since  $\tilde{H}_n(\tilde{X}^+) \cong \tilde{H}_n(\tilde{X})$  by the theorem 5.28. By the proposition 5.20, we get the long exact sequence

$$0 \longrightarrow \tilde{H}_n(M_p) \longrightarrow \tilde{H}_n(X^+) \longrightarrow \tilde{H}_n(X^+ / M_p) = 0$$

for every  $n \in \mathbb{N}$ . Then

$$\tilde{H}_n(X^+) \cong \tilde{H}_n(M_p) \cong \tilde{H}_n(X)$$

since  $M_p \simeq X$ .

**Definition 5.30** (Quillen) *Let  $A$  be a ring. We define the  $K$ -theory groups by*

$$K_i(A) := \pi_i(BGL(A)^+)$$

for  $i \in \mathbb{N}^*$ , where the plus-construction is given with respect to the perfect subgroup  $E(A) \subseteq GL(A) (\cong \pi_1(BGL(A)))$  by proposition 5.9).

**Proposition 5.31** *Milnor's  $K_1(A)$  defined in chapter 3 is isomorphic to Quillen's  $K_1(A)$ .*

*Proof.* We denote Milnor's  $K_1(A)$  by  $K_1^M(A)$  and Quillen's by  $K_1^Q(A)$ . We have from the proposition 5.9 that  $\pi_1(BGL(A)) \cong GL(A)$ . Furthermore, the definition of  $K_1^Q(A)$  and the corollary 5.29 give

$$K_1^Q(A) = \pi_1(BGL(A)^+) \cong \pi_1(BGL(A)) / E(A) \cong GL(A) / E(A) = K_1^M(A)$$

**Remark** We have that the definition 5.30 for  $K_2(A)$  coincides also with the  $K_2(A)$  that we have defined in the preceding chapter.

Moreover,  $K_i(-)$  is a covariant functor from the category of rings and homomorphisms of rings to the category of abelian groups and homomorphisms of groups.

# Conclusion

As a conclusion, I will say that algebraic  $K$ -theory is a huge and interesting subject. Given an ideal  $I$  of a ring  $A$ , we can also define relative  $K$ -theory groups  $K_i(A, I)$ . In the same way, we can define such groups for a category.

In addition, there is also a topological  $K$ -theory, that is in fact born before algebraic  $K$ -theory and has inspired it. There is obviously a link between them.

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